

NEUTRINO EMISSIONS IN ALL FLAVORS UP TO THE PRE-BOUNCE OF MASSIVE STARS AND THE POSSIBILITY OF THEIR DETECTIONS

CHINAMI KATO¹, SHOICHI YAMADA^{1,2}, HIROKI NAGAKURA³, SHUN FURUSAWA^{4,5}, KOH TAKAHASHI^{6,7}, HIDEYUKI UMEDA⁶, TAKASHI YOSHIDA⁶, AND KOJI ISHIDOSHIRO⁸

¹School of Advanced Science and Engineering, Waseda University,
3-4-1, Okubo, Shinjuku, Tokyo 169-8555, Japan

²Advanced Research Institute for Science and Engineering, Waseda University,
3-4-1, Okubo, Shinjuku, Tokyo 169-8555, Japan

³TAPIR, Walter Burke Institute for Theoretical Physics, Mailcode 350-17, California Institute of Technology, Pasadena, CA 91125, USA

⁴Frankfurt Institute for Advanced Studies, J.W. Goethe University, 60438 Frankfurt am Main, Germany

⁵Interdisciplinary Theoretical Science Research Group, RIKEN, Wako, Saitama 351-0198, Japan

⁶Department of Astronomy, The University of Tokyo, Tokyo 113-0033, Japan

⁷Argelander Institute for Astronomy, Auf dem Hügel 71, 53121 Bonn, Germany and

⁸Research Center for Neutrino Science, Tohoku University, Sendai 980-8578, Japan

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ABSTRACT

This paper is a sequel to our previous one, which calculated the luminosities and spectra of electron-type anti-neutrinos ($\bar{\nu}_e$'s) from progenitors of core-collapse supernovae with different masses on the zero-age main sequence. Expecting that a capability to detect electron-type neutrinos (ν_e 's) will increase dramatically with the emergence of liquid-argon detectors such as DUNE (Deep Underground Neutrino Detector) hosted at Fermilab, we broaden the scope in this study to include all types neutrinos and anti-neutrinos emitted not only from the pre-collapse phase but also from the collapsing phase, in which ν_e 's are predominantly generated. We pick up essentially the same three progenitors with 9, 12 and 15 M_\odot , the first of which produces the so-called electron capture supernova (ECSN) and the other two lead to the ordinary iron-core collapse supernovae (FeCCSNe). In this paper, we take into account not only thermal pair productions but also β processes on nuclei in nuclear statistical equilibrium. We find that the number luminosities reach $\sim 10^{57} \text{ s}^{-1}$ and $\sim 10^{53} \text{ s}^{-1}$ at maximum for ν_e and $\bar{\nu}_e$, respectively. We also estimate the numbers of expected detection events at terrestrial neutrino detectors including DUNE, taking MSW and vacuum oscillations of neutrino flavors into account and assuming the distance to the progenitors to be 200 pc, the distance to Betelgeuse. It is demonstrated that $\bar{\nu}_e$'s from the ECSN-progenitor will be undetected at almost all neutrino detectors even if it is this close, whereas we will be able to observe ~ 2500 ν_e 's at DUNE for the inverted mass hierarchy. From the FeCCSN-progenitors, both ν_e 's and $\bar{\nu}_e$'s will be detected: the number of $\bar{\nu}_e$ events will be largest for JUNO, 134-725 $\bar{\nu}_e$'s, depending on the mass hierarchy whereas the number of ν_e events at DUNE is almost the same as that for the ECSN-progenitor. These results imply that the detection of $\bar{\nu}_e$'s is useful to distinguish FeCCSN- from ECSN-progenitors, while ν_e 's will provide us with detailed information on the collapse phase regardless of the type and mass of progenitor.

Subject headings: stars:evolution — stars:massive — supernova:general

1. INTRODUCTION

Massive stars with $M_{\text{ZAMS}} \gtrsim 8 M_\odot$ are supposed to be progenitors of core-collapse supernovae (CCSNe), which are violent explosions at the end of their lives. The explosion is instigated by the collapse of a central core, which is followed by the formation of a shock wave at core bounce. If the shock wave runs through the central core and propagates through outer envelopes up to the stellar surface, the envelopes are ejected and a compact remnant is left behind at the center. How to get the shock wave out of the core has been explored for a long time but has not been settled yet (Janka 2012; Kotake et al. 2012, reference therein). One of the current focuses is some features in the structures of progenitors such as the compactness of core and convective activities in the envelope (Müller 2015; Couch et al. 2015).

It is well known that there are two types of progenitors that are supposed to produce CCSNe: in the majority case they produce a core mainly composed of irons (Fe-core), which collapses when a certain density or temper-

ature is reached; in the other case the gravitational contraction starts already after a core consisting of oxygens and neons (ONe-core) is formed via carbon burning (C-burning) and grows to a critical mass, $M_{\text{core}} = 1.376 M_\odot$ (Woosley et al. 2002). The initial stellar mass on the main sequence is the main factor to determine which is obtained in the end: stars on the lightest end of massive stars ($\sim 8\text{-}10 M_\odot$) will lead to the latter and more massive stars will produce the former (Umeda et al. 2012; Jones et al. 2013).

In fact, if a star is massive enough ($M_{\text{ZAMS}} \gtrsim 10 M_\odot$), then the temperature reaches the ignition point of Ne or O at the center, synthesizing iron-group elements through Si burnings. Electron captures (ECs) on and/or photo-dissociations of these heavy nuclei trigger the gravitational collapse of Fe-core. This mode of the evolution to collapse and the ensuing explosion is referred to as “iron core collapse supernovae (FeCCSNe)”. For the lighter masses, on the other hand, electrons are more degenerate in the ONe-core and their pressure can

support the core even at the vanishing temperature. The mass of the ONe-core increases through shell C-burnings, however, and if it exceeds the critical value $M_{\text{core}} = 1.376 M_{\odot}$, at which the central density reaches the threshold for EC by Mg ($\rho_c = 10^{9.88} \text{ g cm}^{-3}$), then the core begins to contract, losing the pressure support from electrons (Takahashi et al. 2013). This leads in turn to EC by Ne, accelerating the contraction and eventually igniting O and Ne. The O- and Ne-burnings propagate as a deflagration wave, establishing behind it the nuclear statistical equilibrium (NSE). Neutrinos are then emitted copiously via EC reactions on iron-group elements and free protons, which eventually trigger the collapse of the ONe-core that proceeds on the dynamical time scale. In this paper we call this mode of collapse and the following CCSN either “electron capture supernovae (ECSNe)” or “ONe-core collapse supernovae (ONeCCSNe)”. The resultant supernova explosions are supposed to be weaker with an explosion energy of $\sim 10^{50}$ erg than FeCCSNe with $\sim 10^{51}$ erg (Kitaura et al. 2006). In fact, SN1054, which produced the Crab pulsar, may be one of such ECSNe (Nomoto et al. 1982; Tominaga et al. 2013).

Neutrinos play an important role in both progenitor evolutions and the supernova explosion itself. In fact, the neutrino heating mechanism is thought to be the currently most promising scenario to revive a stalled shock and produce a successful explosion. CCSNe are also one of the most important cosmic neutrino sources from an observational point of view (Raffelt 2012) as corroborated by the observation of neutrinos from SN1987A at terrestrial neutrino detectors such as Kamiokande (Hirata et al. 1987; Arnett et al. 1989). These neutrinos are mostly emitted in the cooling phase of proto-neutron stars (PNS’s), which follows the shock revival and lasts for ~ 10 secs (Sato & Suzuki 1987; Burrows & Lattimer 1988; Fischer et al. 2012). Before core collapse, on the other hand, neutrinos dominate photons in the stellar cooling after C-burning. These neutrinos are called “pre-supernova (pre-SN) neutrinos”. As the central temperature and density increase in the progenitor, the number and energy of pre-SN neutrinos also rise. Odrzywolek et al. (2004) was the first to point out that they may be observable during the Si-burning phase if the progenitor is located at a distance $\lesssim 1$ kpc.

Kato et al. (2015) took into account realistic stellar evolutions that lead to both the FeCCSN and ONeCCSN, although Odrzywolek et al. (2004) ignored them. They showed that these two types of supernova progenitors can be distinguished by the detection (or no detection) of their pre-SN neutrinos. Yoshida et al. (2016) investigated more in detail the pre-SN neutrino luminosity and cumulative number of detection events as a function of time for FeCCSN-progenitors. They demonstrated that the pre-SN neutrinos can be used as a useful probe into the Si-burnings, which occur deep inside massive stars, if they are detected on next-generation detectors such as JUNO and Hyper-Kamiokande.

It should be mentioned that all previous works mentioned above paid attention only to electron-type antineutrinos ($\bar{\nu}_e$) emitted via electron-positron pair annihilations, which were supposed to be the dominant emission process of $\bar{\nu}_e$. Patton et al. (2017) pointed out, however, that neutrino emissions via nuclear weak processes, such as β^- decay, may become dominant just prior to

collapse. Misch et al. (2016) also showed the importance of excited states in both parent and daughter nuclei in these processes.

In the observation of $\bar{\nu}_e$ ’s, the detectors in operation at present, both water Cherenkov and liquid scintillation types, employ mainly the inverse β decay whose cross section dominates those of other reactions such as the elastic scattering on electron. It is ν_e ’s, however, that are produced in the largest quantity as a result of EC. It is hence nice from the observational point of view that new detectors that have capabilities to detect ν_e may become available in the near future. Deep Underground Neutrino Observatory, or DUNE, is a liquid argon detector currently planned to be constructed in 10 years at SURF (Sanford Underground Research Facility) (DUNE collaboration 2016). It deploys 4 detectors filled with liquid argon of 10 kt each. Although the detection of supernova neutrinos emitted after core bounce is one of the main targets of DUNE, it should be noted that its energy threshold will be low enough (~ 5 MeV) to detect ν_e ’s in the pre-SN phase. In this paper we calculate ν_e ’s produced via both the thermal and weak processes and discuss their detectability. Although the Helium and Lead Observatory (HALO) experiment at SNOLAB can also detect ν_e ’s with heliums and leads in principle, it is not suitable for the detection of pre-SN neutrinos because of its small volume and high energy threshold (Zuber 2015).

The neutrino emissions at different phases, i.e., the progenitor phase, pre-/post-bounce phases and PNS-cooling phase, have been treated separately so far. Considering, however, the recent progress in the numerical modeling of CCSNe, in which we observe successful explosions rather commonly, we believe that these phases should be handled consistently, based on successful supernova models. This paper is the first step in this direction and we attempt to calculate neutrino emissions from the progenitor stage up to the pre-bounce time, at which the central density becomes $\rho_c = 10^{13} \text{ g cm}^{-3}$, consistently and seamlessly. The subsequent evolutions of the same models will be studied later.

The organization of the paper is as follows: the progenitor models for the ECSN and FeCCSN are briefly described in Section 2; the calculations of the luminosities and spectra of neutrinos are summarized for individual processes in Section 3; the results are presented in Section 4, and finally the summary and discussions are given in Section 5.

2. MODELS

In this paper we consider neutrino emissions during both quasi-static evolutions of progenitors and hydrodynamical core-collapses up to the pre-bounce time of $\rho_c = 10^{13} \text{ g cm}^{-3}$. For the former we use the stellar evolution models as described in section 2.1 whereas for the latter we conduct one-dimensional simulations under spherical symmetry, solving radiation-hydrodynamics equations as explained in section 2.2. Note that we need to take into account neutrino transport in the core properly once the density becomes high enough to trap neutrinos. The two evolutionary phases are connected at the time when the central density becomes $\rho_c = 10^{10.3} \text{ g cm}^{-3}$ for FeCCSNe and $10^{10.1} \text{ g cm}^{-3}$ for ECSNe, respectively.

2.1. Quasi-static evolutions of progenitors

We employ three progenitor models with $M_{\text{ZAMS}} = 9, 12$ and $15 M_{\odot}$, which were calculated anew by K. Takahashi (see Takahashi et al. 2013, 2016). The first one produces an ONe-core that is supposed to explode as ECSN, while the last two models explode as FeCCSNe if they really succeed to. Although some details, e.g., the treatment of convective overshooting, have been updated and the $9 M_{\odot}$ model was formerly referred to as the $8.4 M_{\odot}$ model, these are essentially the same models as those adopted in our previous study (Kato et al. 2015). The latter two models are indeed identical to those employed in Yoshida et al. (2016), but calculated until the central temperature reaches 10^{10} K with hydrodynamics taken into account.

Here we summarize the evolutions of these models briefly. Figure 1 shows the evolutions of central density and temperature of the progenitors. The solid lines represent the results of the quasi-static stellar-evolutionary calculations, or “progenitor phase”, whereas the dashed lines correspond to those of the core-collapse simulations, or “collapse phase”. We see that the two types of progenitors are not much different up to the end of C-burning ($\rho \sim 10^6 \text{ g cm}^{-3}$). After that, however, the evolutionary paths are deviated remarkably from each other. The progenitors with 12 and $15 M_{\odot}$ proceed further to burn heavier nuclei stably under the supports of not only thermal but also degenerate pressures and their central densities and temperatures increase gradually up to collapse. In the case of the progenitor with $M_{\text{ZAMS}} = 9 M_{\odot}$, on the other hand, the Ne-burning does not occur immediately, since the temperature does not become high enough after the C-burning. The core is cooled by neutrino emissions and the central temperature is lowered as the ONe-core grows via the shell C-burning and the central density increases. When it reaches the critical value ($\rho = 10^{9.88} \text{ g cm}^{-3}$) for the EC on Mg, then the core starts to contract with a shorter time scale and the central temperature also begins to rise again. The contraction is accelerated considerably when the EC on Ne sets in at $\rho = 10^{10.3} \text{ g cm}^{-3}$, accompanied by a rapid rise of the central temperature. Finally, Ne and O are ignited at the center almost simultaneously and the flame front starts to propagate outward as a deflagration. The temperature increases drastically and the NSE is established soon after the passage of the burning front.

The evolutions of the central density for three progenitors are shown in Fig. 2. The origin of the time coordinate corresponds to the time, at which the hydrodynamical calculations are initiated. When a new nuclear burning starts, the core expands and the central density is lowered a bit. We mark the initiations of some major nuclear burnings in the figure. It is evident also in this figure that the pre-collapse evolution of the $9 M_{\odot}$ progenitor is qualitatively different from the other two.

In Figure 3, the radial profiles of density ρ , temperature T , electron degeneracy $\mu_e/k_B T$, where μ_e is the chemical potential of electron and k_B is Boltzmann’s constant, and electron fraction Y_e are plotted. The horizontal axis is the mass coordinate in the solar mass unit. Different colors correspond to different times, at which the central densities are $\log_{10} \rho_c / [\text{g cm}^{-3}] = 6, 8, 10, 12$ and 13 , respectively. It is clear from the comparison between the progenitors of FeCCSNe and that of

ECSN, the temperature profile is different qualitatively at $\rho_c = 10^8 \text{ g cm}^{-3}$. In the case of the $9 M_{\odot}$ progenitor, the central part of the core is cooler than the outer part because of neutrino cooling via plasmon decay. The degeneracy parameter $\mu_e/k_B T$ is accordingly higher than those in the 12 and $15 M_{\odot}$ models. Rather high electron fractions at early times are a noteworthy feature for the ECSN progenitor. Although EC reactions trigger the core contraction, the change in Y_e is rather minor in this phase and the main reduction of Y_e occurs only after NSE is established by the O+Ne deflagration.

2.2. Core collapse

Once the gravitational contraction sets in the core, we have to abandon the quasi-static approximation and need to solve hydrodynamical equations numerically. As explained earlier, interactions of neutrinos with matter become non-negligible as the density increases and neutrinos are eventually trapped in the core. Then we need to take into account the transport of neutrinos appropriately. We hence employ the 1-dimensional hydrodynamical code with a Boltzmann solver developed by Nagakura et al. (2014, 2016) to follow the evolution of core collapse. The hydrodynamical module is explicit and of second-order accuracy in both space and time, based on the so-called central scheme (Kurganov & Tadmor 2000; Nagakura & Yamada 2008; Nagakura et al. 2011); the spherical coordinates are adopted; the Newtonian self-gravity is taken into account. The Boltzmann solver adopts the discrete-ordinate method, or the S_N scheme, finite-differencing both space and momentum space; it is semi-implicit in time; special relativity is fully accounted for by utilizing the two different energy grids: Lagrangian-remapped and laboratory-fixed grids. We normally deploy 12-15 energy grid points in this sort of simulations. We increase it to 20 in this study so that we could obtain better resolved energy spectra. See Nagakura et al. (2014) for more details.

We use Furusawa’s EOS (Furusawa et al. 2013), a multi-nuclear species EOS, which is based on the relativistic mean field theory with the TM1 parameter set derived from H. Shen’s EOS, or STOS EOS (Shen et al. 2011); it takes into account the NSE among many nuclei and nucleons by extending a nuclear mass formula; electron capture rates for heavy nuclei are also provided (see below). For the neutrino interactions, the following processes are taken into account in this paper:

- neutrino emissions and absorptions: electron captures by nuclei and free nucleons, electron-positron annihilations, nucleon-bremsstrahlungs and their inverse reactions.
- neutrino scatterings: isoenergetic scatterings on free nucleons, coherent scatterings on nuclei and non-isoenergetic electron scatterings on electrons and positrons.

The reaction rates are based on Bruenn (1985) and Mezzacappa et al. (1993) except for the EC on heavy nuclei, for which we take the values provided by Fuller et al. (1985); Oda et al. (1994); Langanke et al. (2001) and Langanke et al. (2003), which are referred to as FFN, ODA, LMP and LMSH, respectively, and average them

over the NSE abundance of nuclei, which is provided by the EOS. We also employ the approximation formula (see eq.(30) below) when none of the tables provide the rate. The luminosity and energy spectrum of ν_e in the collapse phase are obtained directly from the simulations whereas those for other neutrino species are calculated in the post processes (see the next section).

We use for the dynamical simulations only the radial profiles of central cores derived from the quasi-static evolutions of the progenitors. For the Fe-cores of the 12 and 15 M_\odot models, we start the computations from the time when the central density is $\rho_c = 10^{10.3} \text{ g cm}^{-3}$. We first run the Boltzmann solver alone with all quantities other than the neutrino distribution functions being fixed until steady states are reached. This step is necessary to avoid artificial discontinuities in the neutrino luminosities at the point of the switch to the dynamical calculations. The 9 M_\odot model needs a special treatment. As already mentioned, the gravitational contraction starts in the ONe-core via EC. Neons and oxygens are then ignited at the center and the deflagration wave propagates outward in the core, establishing NSE behind. Note that NSE already prevails in the Fe-cores. In the case of the ONe-core, we hence have to handle this nuclear equilibration process, solving network equations in addition to the hydrodynamics and neutrino transport. This has been done recently by Takahashi et al. (2017) and we will use their results in this paper. Since details will be published in their forthcoming paper, we here give important information alone: they modified the 1D radiation-hydrodynamics code developed by Sumiyoshi & Yamada (2012) to compute the nuclear reactions with a network of 40 nuclear species. H. Shen's original EOS is employed instead of Furusawa's extended version, EC rates are adopted from Juodagalvis et al. (2008). The radial profile at the time of $\rho_c = 10^{10.1} \text{ g cm}^{-3}$ is used as the initial condition for the simulation.

In all three cases, we terminate the simulations when the central density exceeds $\rho_c = 10^{13} \text{ g cm}^{-3}$. This is because nuclei become very large thereafter and pastas are supposed to emerge eventually toward core bounce; then the EC rates on these nuclei are highly uncertain and treated only crudely in the original radiation-hydrodynamics code.

The dotted lines in Figs. 1 and 3 show the evolutions in the collapse phase. The behavior of the central temperature and density in this phase is not much different between the two types of progenitors. In the 9 M_\odot progenitor, however, the temperature is high only inside the deflagration front, which is located at the mass coordinate of 1 M_\odot in Fig. 3. The NSE condition ($T \gtrsim 5 \times 10^9 \text{ K}$) is achieved indeed and the degeneracy of electrons is partially lifted there. It is also evident that EC is drastically enhanced once NSE is established. Note in passing that the differences in Y_e between the ONe-core and Fe-cores presented here may partially reflect the differences in the EOS and EC rates adopted in these models.

3. NEUTRINO EMISSIONS

Neutrinos are emitted via several processes, which are classified into thermal pair emissions and nuclear weak interactions. In this section, we first describe somewhat in detail the formulae we employ to evaluate the neutrino emissivity for individual processes (§§ 3.1-3.3). In

order to discuss the observations at terrestrial detectors, flavor oscillations should be taken into account and will be discussed in Section 3.4. In the progenitor phase, we simply evaluate the luminosities and spectra of all flavors of neutrinos in post processes, i.e., We calculate the neutrino emissivities at each mass coordinate and time, and integrate them over the core. In the collapse phase, on the other hand, we treat ν_e differently from the other flavors of neutrinos. We derive the luminosity and spectrum of ν_e directly from the radiation-hydrodynamical simulations. When the opacity for neutrinos gets high enough to hinder free escape of neutrinos, we have to take into account neutrino transport. Note that ν_e 's are responsible for the transfer of the electron-type lepton number and hence the evolution of Y_e , and their transport in the core are indispensable for realistic supernova simulations.

Other species of neutrinos in the collapse phase, on the other hand, are treated in post-processes, i.e., we extract the time evolutions of matter density, temperature and electron fraction as well as the distribution function of ν_e from the results of simulations and plug them into the formulae of emissivities given in the following subsections. Note that the distribution function of ν_e is necessary to take into account the Fermi-blocking in the final state. We ignore the matter opacity for these neutrinos, since they are much less abundant than ν_e . As a matter of fact, we confirmed that the emissions of these neutrinos after matter becomes opaque are negligible compared with those before that. Neutrino emission processes and their treatments in our calculations are also summarized in Table. 1.

3.1. Thermal neutrino-pair emissions

For this type of emissions we normally consider four processes: electron-positron annihilation, plasmon decay, bremsstrahlung¹ and photo process. They produce all flavors of neutrinos. The reaction rates of these processes depend mainly on three hydrodynamical variables: density, temperature and electron fraction (or electron chemical potential).

Itoh et al. (1996) investigated in detail which process is dominant in which regime. Kato et al. (2015) found that the evolutionary paths for the FeCCSN-progenitors start to deviate from that for the ONeCCSN-progenitor after the central C-burning and electron-positron pair annihilations are always dominant for the former, while for the latter plasmon decays prevail until Ne or O is ignited at the center and the temperature rises quickly, after which pair annihilations take over. In this paper we hence focus on these two processes; pair annihilation and plasmon decay, as in our previous study. See also Patton et al. (2017) and Guo & Qian (2016).

3.1.1. Electron-positron annihilation

Neutrino-pair creations through the electron-positron annihilations:

$$2\gamma \longleftrightarrow e^+ + e^- \longrightarrow \nu_e + \bar{\nu}_e \quad (1)$$

¹ This bremsstrahlung occurs in association with a collision of an electron with a nucleus via electromagnetic interactions and is different from the bremsstrahlung from nucleon-nucleon collisions via nuclear forces, which become important in the post-bounce phase.

become important at high temperatures $\gtrsim 10^9$ K simply because the number of photons with high enough energies to produce electron-positron pairs becomes large and, as a result, electron-positron pairs become also abundant.

The reaction rate, or the number of reactions per unit time and unit volume to produce a pair of neutrino and anti-neutrino with momenta q and q' , respectively, is written as $R d^3 q / 2 E_\nu d^3 q' / 2 E_{\bar{\nu}}$, where R is given in the natural unit ($c = \hbar = 1$) as follows:

$$R = \left(\frac{G_F}{\sqrt{2}} \right)^2 \iint \frac{d^3 k}{(2\pi)^3} \frac{d^3 k'}{(2\pi)^3} \frac{1}{2 E_e} \frac{1}{2 E_{e'}} (2\pi)^4 \times \delta^4(q + q' - k - k') \times f_{e-}(E_e) f_{e+}(E_{e'}) \times 64 |M|^2. \quad (2)$$

Here $G_F = 1.166364 \times 10^{-11}$ MeV $^{-2}$ is the Fermi coupling constant, $q^\mu = (E_\nu, \mathbf{q})$, $q'^\mu = (E_{\bar{\nu}}, \mathbf{q}')$, $k^\mu = (E_e, \mathbf{k})$ and $k'^\mu = (E_{e'}, \mathbf{k}')$ are the 4-momenta for neutrino, anti-neutrino, electron and positron, respectively; f_{e-} and f_{e+} are the Fermi-Dirac distribution functions of electron and positron, respectively; the matrix element squared for this reaction is expressed as

$$|M|^2 = (C_V - C_A)^2 (q \cdot k) (q' \cdot k') + (C_V + C_A)^2 (q \cdot k') (q' \cdot k) + m_e^2 (C_V - C_A)^2 (q \cdot q')^2. \quad (3)$$

In this equation, the coupling constants are given as $C_V = 1/2 + 2 \sin^2 \theta_w$ and $C_A = 1/2$ with $\sin^2 \theta_w = 0.2224$ for the Weinberg angle θ_w . Note that all neutrinos are assumed to be massless, which is well justified for our purposes.

The expression of R in eq. (2) can be cast into the following form (Mezzacappa et al. 1993; Schinder & Shapiro 1982):

$$R = \frac{8 G_F^2}{(2\pi)^2} [\beta_1 I_1 + \beta_2 I_2 + \beta_3 I_3]. \quad (4)$$

In this expression, β 's are the following combinations of the coupling constants: $\beta_1 = (C_V - C_A)^2$, $\beta_2 = (C_V + C_A)^2$ and $\beta_3 = C_V^2 - C_A^2$, and I 's are the functions of the energies of emitted neutrino E_ν and anti-neutrino $E_{\bar{\nu}}$ and the angle θ between their momenta \mathbf{q} and \mathbf{q}' :

$$I_1(E_\nu, E_{\bar{\nu}}, \cos \theta) = - \frac{2\pi T E_\nu^2 E_{\bar{\nu}}^2 (1 - \cos \theta)^2}{[\exp(E_\nu + E_{\bar{\nu}})/T - 1] \Delta_e^5} \times \{ AT^2 ([G_2(y_{\max}) - G_2(y_{\min})] + [2y_{\max} G_1(y_{\max}) - 2y_{\min} G_1(y_{\min})] + [y_{\max}^2 G_0(y_{\max}) - y_{\min}^2 G_0(y_{\min})]) + BT ([G_1(y_{\max}) - G_1(y_{\min})] + [y_{\max} G_0(y_{\max}) - y_{\min} G_0(y_{\min})]) + C [G_0(y_{\max}) - G_0(y_{\min})] \}, \quad (5)$$

$$I_2 = I_1(E_{\bar{\nu}}, E_\nu, \cos \theta), \quad (6)$$

$$I_3 = - \frac{2\pi T m_e^2 E_\nu E_{\bar{\nu}} (1 - \cos \theta)}{[\exp(E_\nu + E_{\bar{\nu}})/T - 1] \Delta_e} \times [G_0(y_{\max}) - G_0(y_{\min})], \quad (7)$$

with

$$\Delta_e^2 \equiv E_{\bar{\nu}}^2 + E_\nu^2 + 2 E_\nu E_{\bar{\nu}} \cos \theta, \quad (8)$$

$$A = E_{\bar{\nu}}^2 + E_\nu^2 - E_\nu E_{\bar{\nu}} (3 + \cos \theta), \quad (9)$$

$$B = -2 E_\nu^2 + E_{\bar{\nu}}^2 (1 + \cos \theta) + E_\nu E_{\bar{\nu}} (3 - \cos \theta), \quad (10)$$

$$C = (E_\nu + E_{\bar{\nu}} \cos \theta)^2 - \frac{1}{2} E_{\bar{\nu}}^2 (1 - \cos^2 \theta) - \frac{1}{2} \left(\frac{m_e \Delta_e}{E_\nu} \right)^2 \frac{1 + \cos \theta}{1 - \cos \theta}, \quad (11)$$

and $\eta' = (\mu_e + E_\nu + E_{\bar{\nu}})/T$, $\eta = \mu_e/T$, $y_{\max} = E_{\max}/T$, $y_{\min} = E_{\min}/T$ and $G_n(y) \equiv F_n(\eta' - y) - F_n(\eta - y)$, in which the Fermi integral $F_n(z)$ is defined as

$$F_n(z) = \int_0^\infty \frac{x^n}{e^{x-z} + 1} dx; \quad (12)$$

η_e denotes the chemical potential of electron; the Boltzmann's constant is taken to be unity in these and following equations. Details of the derivation of these expressions are given in our previous paper (Kato et al. 2015).

The differential number emissivity for neutrino or anti-neutrino is expressed as an integral of R over the momentum of the partner as follows:

$$\frac{dQ_N^{\nu_1}}{dE_{\nu_1}} = \frac{E_{\nu_1}}{(2\pi)^2} \iint \frac{d^3 q_{\nu_2}}{(2\pi)^3} \frac{1}{2 E_{\nu_2}} R(E_{\nu_1}, E_{\bar{\nu}_2}, \cos \theta). \quad (13)$$

Note that ν_1 is ν or $\bar{\nu}$ and ν_2 is $\bar{\nu}$ or ν accordingly. The corresponding differential energy emissivity is given just by the multiplication of energy E_{ν_1} as

$$\frac{dQ_E^{\nu_1}}{dE_{\nu_1}} = E_{\nu_1} \frac{dQ_N^{\nu_1}}{dE_{\nu_1}}. \quad (14)$$

The total number- and energy emissivities are obtained by further integrating $dQ_N^{\nu_1}/dE_{\nu_1}$ and $dQ_E^{\nu_1}/dE_{\nu_1}$ over E_{ν_1} as

$$Q_N^{\nu_1} = \int dE_{\nu_1} \frac{dQ_N^{\nu_1}}{dE_{\nu_1}}, \quad (15)$$

$$Q_E^{\nu_1} = \int dE_{\nu_1} \frac{dQ_E^{\nu_1}}{dE_{\nu_1}}. \quad (16)$$

In the collapse phase as the matter density increases and the neutrino energy rises, interactions between matter and neutrinos become no longer ignored. Electron-type neutrinos, the most abundant species, are eventually trapped in the core at $\rho \gtrsim 10^{11}$ g cm $^{-3}$ and become degenerate. Then the pair creation of ν_e and $\bar{\nu}_e$ is suppressed by the Fermi-blocking in the final state. Considering the inverse process, we should hence modify the differential emissivity of $\bar{\nu}_e$ in this phase as

$$\begin{aligned} & \frac{dQ_{\bar{\nu}_e}}{dE_{\bar{\nu}_e} d \cos \theta_{\bar{\nu}_e} d \phi_{\bar{\nu}_e}} \\ &= \frac{E_{\bar{\nu}_e}}{2 (2\pi)^3} \int \frac{d^3 q_{\nu_e}}{(2\pi)^3} \frac{1}{2 E_{\nu_e}} \\ & \times [R^p(E_{\nu_e}, E_{\bar{\nu}_e}, \cos \theta) [1 - f_{\nu_e}(E_{\nu_e}, \theta_{\nu_e})] [1 - f_{\bar{\nu}_e}(E_{\bar{\nu}_e}, \theta_{\bar{\nu}_e})] \\ & - R^a(E_{\nu_e}, E_{\bar{\nu}_e}, \cos \theta) f_{\nu_e}(E_{\nu_e}, \theta_{\nu_e}) f_{\bar{\nu}_e}(E_{\bar{\nu}_e}, \theta_{\bar{\nu}_e})], \end{aligned} \quad (17)$$

where f_{ν_e} and $f_{\bar{\nu}_e}$ are the distribution functions of ν_e and $\bar{\nu}_e$, respectively. The direction of neutrino momentum is specified with the zenith and azimuth angles (θ_ν, ϕ_ν) with respective to the local radial direction. The first term in the integrand on the right hand side is the production rate whereas the second term represents the absorption rate by the inverse reaction: R_p is given by eq. (2) while R_a is obtained from R_p via the detailed balance condition: $R_a = R_p \exp((E_\nu + E_{\bar{\nu}})/T)$. We make an approximation $1 - f_{\bar{\nu}_e}(E_{\bar{\nu}_e}, \theta_{\bar{\nu}_e}) \sim 1$, which is well justified as $f_{\bar{\nu}_e}(E_{\bar{\nu}_e}, \theta_{\bar{\nu}_e})$ is small in the collapse phase.

Moreover, we have to take into account matter motions in the collapse phase and distinguish the global inertial frame, or the observer's frame, from the local fluid-rest frame, since the emissivities we have presented so far are all valid in the latter frame. The emissivities in the observer's frame is obtained by the following transformation:

$$\frac{dQ_N^{\bar{\nu}_e}}{dE_{\bar{\nu}_e}^{\text{lab}} d\cos\theta_{\bar{\nu}_e}^{\text{lab}} d\phi_{\bar{\nu}_e}^{\text{lab}}} = J \frac{dQ_N^{\bar{\nu}_e}}{dE_{\bar{\nu}_e}^{\text{fr}} d\cos\theta_{\bar{\nu}_e}^{\text{fr}} d\phi_{\bar{\nu}_e}^{\text{fr}}} \quad (18)$$

where the superscripts “fr” and “lab” stand for quantities in the fluid-rest and laboratory frames, respectively, and J is the Jaccobian:

$$J = \frac{\partial (E_{\bar{\nu}_e}^{\text{fr}}, \cos\theta_{\bar{\nu}_e}^{\text{fr}}, \phi_{\bar{\nu}_e}^{\text{fr}})}{\partial (E_{\bar{\nu}_e}^{\text{lab}}, \cos\theta_{\bar{\nu}_e}^{\text{lab}}, \phi_{\bar{\nu}_e}^{\text{lab}})}, \quad (19)$$

for the following transformations:

$$E^{\text{fr}} = E^{\text{lab}} \gamma (1 - \vec{n}^{\text{lab}} \cdot \vec{v}), \quad (20)$$

$$\vec{n}^{\text{fr}} = \frac{1}{\gamma (1 - \vec{n}^{\text{lab}} \cdot \vec{v})} \left[\vec{n}^{\text{lab}} + \left(-\gamma + \frac{\gamma - 1}{v^2} \vec{v} \cdot \vec{n}^{\text{lab}} \right) \vec{v} \right] \quad (21)$$

with $\vec{n}^{\text{lab}} = (\sin\theta_\nu \cos\phi_\nu, \sin\theta_\nu \sin\phi_\nu, \cos\theta_\nu)$ being the propagation direction of neutrino.

3.1.2. plasmon decay

Plasmons are quantized collective motions of plasma. They are much like photons except that they are massive quasi-particles, having a longitudinal mode in addition to the two transverse modes². Thanks to this property, a plasmon decay to two massless particles is not kinetically forbidden, the fact which is in sharp contrast to photons in vacuum. The plasmon decay to a pair of neutrinos,

$$\gamma^* \longrightarrow \nu + \bar{\nu}, \quad (22)$$

is one of the main cooling processes in massive stars after C-burning. As we explained, it is the dominant neutrino-emitting reaction in the ONe-core until NSE is established.

We calculate the neutrino emissivity for the plasmon decay, following Braaten & Segel (1993). The number of reactions, R , to produce the pair of neutrino and anti-neutrino with the energies of E_ν and $E_{\bar{\nu}}$, respectively, per unit time and volume is given as

$$R = \left(\frac{G_F}{\sqrt{2}} \right)^2 \frac{16C_V^2}{e^2} \frac{2E_\nu^2 E_{\bar{\nu}}^2 (1 - \cos\theta)}{[1 - \exp((E_\nu + E_{\bar{\nu}})/T)]}$$

² In some textbooks, only the longitudinal mode is called plasmon. In this paper, we refer to the transverse modes also as plasmon.

$$\begin{aligned} & \times \left\{ \frac{3\omega_p^2}{\Delta_e^2} \delta(f_L(E_\nu, E_{\bar{\nu}}, \cos\theta)) \right. \\ & \times \left[\frac{E_\nu + E_{\bar{\nu}}}{2\Delta_e} \ln \frac{E_\nu + E_{\bar{\nu}} - \Delta_e}{E_\nu + E_{\bar{\nu}} + \Delta_e} + 1 \right] \\ & \times \left[-2\cos\theta (E_\nu + E_{\bar{\nu}})^2 - 2E_\nu E_{\bar{\nu}} \sin^2\theta \right. \\ & \quad \left. + \frac{2(E_\nu + E_{\bar{\nu}})^2}{\Delta_e^2} (E_\nu + E_{\bar{\nu}} \cos\theta)(E_{\bar{\nu}} + E_\nu \cos\theta) \right] \\ & - \frac{3\omega_p^2 (E_\nu + E_{\bar{\nu}})^2}{\Delta_e^2} \delta(f_T(E_\nu, E_{\bar{\nu}}, \cos\theta)) \\ & \times \left[1 + \frac{E_\nu E_{\bar{\nu}} (1 - \cos\theta)}{(E_\nu + E_{\bar{\nu}}) \Delta_e} \ln \frac{E_\nu + E_{\bar{\nu}} - \Delta_e}{E_\nu + E_{\bar{\nu}} + \Delta_e} \right] \\ & \times \left[1 - \frac{(E_\nu \cos\theta + E_{\bar{\nu}})(E_{\bar{\nu}} \cos\theta + E_\nu)}{\Delta_e^2} \right] \left. \right\} \quad (23) \end{aligned}$$

with the following definitions of $f_L(E_\nu, E_{\bar{\nu}}, \cos\theta)$ and $f_T(E_\nu, E_{\bar{\nu}}, \cos\theta)$:

$$\begin{aligned} f_L(E_\nu, E_{\bar{\nu}}, \cos\theta) &= 2E_\nu E_{\bar{\nu}} (1 - \cos\theta) \\ &+ \frac{6\omega_p^2 E_\nu E_{\bar{\nu}} (1 - \cos\theta)}{\Delta_e^2} \left[\frac{E_\nu + E_{\bar{\nu}}}{2\Delta_\nu} \ln \frac{E_\nu + E_{\bar{\nu}} - \Delta_e}{E_\nu + E_{\bar{\nu}} + \Delta_e} + 1 \right], \quad (24) \end{aligned}$$

$$\begin{aligned} f_T(E_\nu, E_{\bar{\nu}}, \cos\theta) &= 2E_\nu E_{\bar{\nu}} (1 - \cos\theta) \\ &- \frac{3\omega_p^2 (E_\nu + E_{\bar{\nu}})^2}{2\Delta_e^2} \left[1 + \frac{E_\nu E_{\bar{\nu}} (1 - \cos\theta)}{(E_\nu + E_{\bar{\nu}}) \Delta_e} \ln \frac{E_\nu + E_{\bar{\nu}} - \Delta_e}{E_\nu + E_{\bar{\nu}} + \Delta_e} \right]. \quad (25) \end{aligned}$$

Note that the dispersion relations of the longitudinal and transverse modes are obtained from $f_L = 0$ and $f_T = 0$, respectively.

The differential and total emissivities are defined in the same way as for the pair annihilation. Note that neutrinos emitted by plasmon decay have low energy $E_\nu \sim 0.5$ MeV and their contribution to the observable luminosity is minor even in the pre-collapse phase (Kato et al. 2015). This is even more so in the collapse phase. We hence estimate only the maximum luminosities for the plasmon decay in the collapse phase, ignoring the Fermi-blocking in the final state.

3.2. Nuclear weak interaction

This is the new stuff in this paper, which was ignored in our previous paper (Kato et al. 2015). In the late evolutionary phase of progenitors and during the collapse phase, nuclear weak interactions can no longer be neglected. In particular, EC's by heavy nuclei are the dominant reactions, once opened. They play an important role in the hydrodynamics of core-collapse as explained earlier. Although β^+ decays of heavy nuclei also emit ν_e 's, they are certainly is sub-dominant. Electron-type antineutrinos are emitted either by positron captures (PC) or β^- decays. Although they never affect the core dynamics up to bounce, they are important from the observational point of view, since water Cherenkov detectors mainly observe them. Moreover, Patton et al. (2017) pointed out that there may be a period, in which

the β^- decay dominates the pair annihilation in the production of $\bar{\nu}_e$'s.

In this paper we hence take into account the following reactions:

1. electron capture

$$(Z, A) + e^- \longrightarrow (Z - 1, A) + \nu_e \quad (26)$$

2. β^+ decay

$$(Z, A) \longrightarrow (Z - 1, A) + e^+ + \nu_e \quad (27)$$

3. positron capture

$$(Z, A) + e^+ \longrightarrow (Z + 1, A) + \bar{\nu}_e \quad (28)$$

4. β^- decay

$$(Z, A) \longrightarrow (Z + 1, A) + e^- + \bar{\nu}_e. \quad (29)$$

In the above expressions, Z and A are the atomic and mass numbers of nuclei, respectively.

For the calculations of the luminosities and energy spectra of neutrinos we use FFN, ODA, LMP and LMSH tables whenever available. They normally give us the total reaction rates and average neutrino energies. If more than one tables are available for the same nucleus, we adopt one of them in the following order: LMSH > LMP > ODA > FFN. Note that the LMSH table includes data only on the ν_e emission via EC. If no data are available from these tables, which actually happens particularly when very heavy and/or neutron-rich nuclei (A, N) become populated at late times in the collapse phase, we employ the approximation formulae for $Q_{N,EC}$ and $Q_{E,EC}$ (Fuller et al. 1985; Langanke et al. 2003; Sulivan et al. 2016):

$$Q_{N,EC}^{\nu_e} = \sum_i \frac{X_i \rho}{m_p A_i} \frac{\ln 2 \cdot B}{K} \left(\frac{T}{m_e c^2} \right)^5 \times [F_4(\eta) - 2\chi F_3(\eta) + \chi^2 F_2(\eta)], \quad (30)$$

$$Q_{E,EC}^{\nu_e} = \sum_i \frac{X_i \rho}{m_p A_i} \frac{\ln 2 \cdot B}{K} \left(\frac{T}{m_e c^2} \right)^6 \times [F_5(\eta) - 2\chi F_4(\eta) + \chi^2 F_3(\eta)], \quad (31)$$

where $K = 6146$ s, $\chi = (Q - \Delta E)/T$, $\eta = (\mu_e + Q - \Delta E)/T$; X_i and A_i are the mass fraction and mass number of nuclear species i , respectively; the representative values of matrix element and the energy level difference between the parent and daughter nuclei are set to $B = 4.6$ and $\Delta E = E_f - E_i = 2.5$ MeV, respectively, following Langanke et al. (2003). For β^- decay in the absence of data, we consult another table compiled by Tachibana (Tachibana & Yamada 1995; Yoshida & Tachibana 2000; Tachibana 2000; Koura et al. 2003; Koura 2004; Koura et al. 2005). Note that the data in this table are calculated for the terrestrial environment and hence do not take into account the Fermi-blocking of electrons in the final state, which we re-incorporated, albeit crudely, by using the average electron energy.

The energy spectrum is reconstructed for each reaction by using the "effective q -value method" (Langanke et al. 2001,b; Kunugise & Iwamoto 2007; Patton et al. 2017):

$$\frac{dQ_{N,k}^{\nu_j}}{dE_{\nu_j}} = N_k \frac{E_{\nu_j}^2 (E_{\nu_j} - q)^2}{1 + \exp [E_{\nu_j} - q - \mu_e]/T} \times \Theta(E_{\nu_j} - q - m_e), \quad (32)$$

for $k = EC, PC$ and

$$\frac{dQ_{N,k}^{\nu_j}}{dE_{\nu_j}} = N_k \frac{E_{\nu_j}^2 (q - E_{\nu_j})^2}{1 + \exp [E_{\nu_j} - q + \mu_e]/T} \times \Theta(q - m_e - E_{\nu_j}), \quad (33)$$

for $k = \beta^-, \beta^+$, where $\nu_j = \nu_e$ or $\bar{\nu}_e$ and the normalization factor N_k is determined by the following relation:

$$Q_{N,k} = \int \frac{dQ_{N,k}^{\nu_j}}{dE_{\nu_j}} dE_{\nu_j}. \quad (34)$$

The effective q -value is actually given from the average energy $\langle E_{\nu_e} \rangle$ as follows:

$$\frac{Q_{E,EC}^{\nu_e} + Q_{E,\beta^+}^{\nu_e}}{\lambda_{EC} + \lambda_{\beta^+}} = \langle E_{\nu_e} \rangle = \frac{\int E_{\nu_e} \frac{dQ_{N,EC}^{\nu_e}}{dE_{\nu_e}} (E_{\nu_e}) dE_{\nu_e}}{\int \frac{dQ_{N,EC}^{\nu_e}}{dE_{\nu_e}} (E_{\nu_e}) dE_{\nu_e}}, \quad (35)$$

where the following notation is used:

$$\frac{dQ_{N,EC}^{\nu_e}}{dE_{\nu_e}} (E_{\nu_e}) = \frac{dQ_{N,EC}^{\nu_e}}{dE_{\nu_e}} + \frac{dQ_{N,\beta^+}^{\nu_e}}{dE_{\nu_e}}. \quad (36)$$

For $\bar{\nu}_e$, we replace the subscripts of EC and β^+ with PC and β^- .

3.3. Electron capture by free proton

In the collapse phase, although they are not abundant, EC's on free protons:

$$p + e^- \longrightarrow n + \nu_e, \quad (37)$$

cannot be ignored, since cross section is larger than those of EC's on heavy nuclei.

The reaction rate is given by Bruenn (1985) as

$$\frac{dQ_{N,p}^{\nu_e}}{dE_{\nu_e}} = \frac{G_F^2}{\pi} \eta_{pn} (g_V^2 + 3g_A^2) (E_{\nu_e} + Q)^2 \times \sqrt{1 - \frac{m_e^2}{(E_{\nu_e} + Q)^2}} f_e(E_{\nu_e} + Q), \quad (38)$$

where the mass difference between neutron and proton is given by $Q = m_n - m_p$, and the form factors for the vector and axial vector currents are given as $g_V = 1$ and $g_A = 1.23$, respectively; η_{pn} is defined as

$$\eta_{pn} \equiv \int \frac{2d^3p}{(2\pi)^3} \tilde{F}_p(\tilde{E}) [1 - \tilde{F}_n(\tilde{E})] = \frac{n_n - n_p}{\exp(\mu_n^0 - \mu_p^0)/T - 1}. \quad (39)$$

In the above expression, the Fermi-Dirac distributions are denoted by $\tilde{F}_i(\tilde{E}) = 1/[1 + \exp(\tilde{E} - \mu_i)/T]$ ($i = p, n$), and the number densities and chemical potentials not including the rest-mass energies of proton and neutron are written as n_n, n_p and μ_p^0, μ_n^0 , respectively; the non-relativistic expression $\tilde{E} \sim p_i^2/2m$ is employed for the kinetic energies of nucleons.

3.4. Neutrino propagation

The electron neutrinos and anti-neutrinos may convert to ν_x 's and $\bar{\nu}_x$'s, respectively, and vice versa during propagation as a result of flavor oscillations. We take into account only the vacuum oscillations and MSW effect and ignore the collective oscillations, which will probably not occur in the pre-bounce phase. The so-called survival probabilities of ν_e and $\bar{\nu}_e$ denoted by p and p' , respectively, are given in the adiabatic limit as

$$p = \begin{cases} |U_{e3}|^2 = \sin^2 \theta_{13} & \text{for normal hierarchy,} \\ |U_{e2}|^2 = \sin^2 \theta_{12} \cos^2 \theta_{13} & \text{for inverted hierarchy,} \end{cases} \quad (40)$$

$$p' = \begin{cases} |U_{e1}|^2 = \cos^2 \theta_{12} \cos^2 \theta_{13} & \text{for normal hierarchy,} \\ |U_{e3}|^2 = \sin^2 \theta_{13} & \text{for inverted hierarchy,} \end{cases} \quad (41)$$

with $\cos^2 \theta_{12} = 0.692, \cos^2 \theta_{13} = 0.9766$ (PDG data 2014). In the above expression, U_{e1}, U_{e2} and U_{e3} are the elements of the unitary matrix U that transforms the mass eigenstates to the flavor eigenstates as

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = U \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}. \quad (42)$$

It is normally parametrized by three mixing angles, $\theta_{12}, \theta_{13}, \theta_{23}$, and a CP-violating phase, δ , as

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{13}s_{23}e^{i\delta} & c_{12}c_{23} - s_{12}s_{13}s_{23}e^{i\delta} & c_{13}s_{23} \\ s_{12}s_{23} - c_{12}s_{13}c_{23}e^{i\delta} & -c_{12}s_{23} - s_{12}s_{13}c_{23}e^{i\delta} & c_{13}c_{23} \end{pmatrix} \quad (43)$$

with the common shorthand notations of $c_{ij} = \cos \theta_{ij}$ and $s_{ij} = \sin \theta_{ij}$ for $i, j = 1, 2, 3$.

Since stellar cores are not homogeneous in the progenitor phase, we need to calculate the number and energy emissivities per volume and time, Q_N^ν and Q_E^ν , as well as the spectra, dQ_N^ν/dE_ν , at each point of the core and integrate them over the entire core to obtain the number and energy luminosities, L_N^ν and L_E^ν , together with the observed spectra, dL_N^ν/dE_ν for neutrinos other than ν_e :

$$L_N^\nu = \int_0^R Q_N^\nu(r) 4\pi r^2 dr, \quad (44)$$

$$L_E^\nu = \int_0^R Q_E^\nu(r) 4\pi r^2 dr, \quad (45)$$

$$\frac{dL_N^\nu}{dE_\nu} = \int_0^R \frac{dQ_N^\nu(r)}{dE_\nu} 4\pi r^2 dr. \quad (46)$$

Here R denotes the radius of the core surface for the thermal neutrino processes and the radius of the edge of

NSE regions for the nuclear weak processes. We evaluate them at different times so that their time evolutions are obtained.

As for the pair processes, we need actually to conduct two more integrals concerning the zenith and azimuth angles (see eq. (17)). In so doing, we distinguish the observer's frame from the local fluid-rest frame in the collapse phase (see eq. (18)). Then the differential and total number luminosities are given as follows:

$$\begin{aligned} \frac{dL_N^{\bar{\nu}_e}}{dE_{\bar{\nu}_e}^{\text{lab}}} &= \int \frac{dQ_N^{\bar{\nu}_e}}{dE_{\bar{\nu}_e}^{\text{lab}} d \cos \theta_{\bar{\nu}_e}^{\text{lab}} d\phi_{\bar{\nu}_e}^{\text{lab}}} \Big|_{\theta_{\bar{\nu}_e}^{\text{lab}}=\theta_s, \phi_{\bar{\nu}_e}^{\text{lab}}=180^\circ} dV \\ &= \int \frac{dQ_N^{\bar{\nu}_e}}{dE_{\bar{\nu}_e}^{\text{lab}} d \cos \theta_{\bar{\nu}_e}^{\text{lab}} d\phi_{\bar{\nu}_e}^{\text{lab}}} \Big|_{\theta_{\bar{\nu}_e}^{\text{lab}}=\theta_s, \phi_{\bar{\nu}_e}^{\text{lab}}=180^\circ} \\ &\quad \times 2\pi r^2 dr d \cos \theta_s, \end{aligned} \quad (47)$$

$$L_N^{\bar{\nu}_e} = \int \frac{dL_N^{\bar{\nu}_e}}{dE_{\bar{\nu}_e}^{\text{lab}}} dE_{\bar{\nu}_e}^{\text{lab}}. \quad (48)$$

In these expressions, we assume that the observer is located at infinity on the positive z-axis. Note that we employ these formulae only for the electron-positron annihilation, since it is dominant over the plasmon decay in the collapse phase. As for the latter, we give only a rough estimate, ignoring the frame difference and the Fermi-blocking in the final state.

The differential luminosities, or energy spectra, of ν_e and $\bar{\nu}_e$ with the vacuum and MSW neutrino oscillations being taken into account in the adiabatic limit are given as follows:

$$\left(\frac{dL_N^{\nu_e}}{dE_{\nu_e}} \right)_{\text{osc}} = p \left(\frac{dL_N^{\nu_e}}{dE_{\nu_e}} \right)_0 + (1-p) \left(\frac{dL_N^{\nu_x}}{dE_{\nu_x}} \right)_0, \quad (49)$$

$$\left(\frac{dL_N^{\bar{\nu}_e}}{dE_{\bar{\nu}_e}} \right)_{\text{osc}} = p' \left(\frac{dL_N^{\bar{\nu}_e}}{dE_{\bar{\nu}_e}} \right)_0 + (1-p') \left(\frac{dL_N^{\bar{\nu}_x}}{dE_{\bar{\nu}_x}} \right)_0. \quad (50)$$

In these expressions, the subscript 0 means the original spectra before the neutrino oscillations are considered; ν_x stands for ν_μ or ν_τ , both of which we assume to have the same spectrum, which is produced solely by the electron-positron annihilation process.

4. RESULTS

In the following we present the main results: the number luminosities as well as the spectra for different neutrino flavors as functions of time. Based on them, we then estimate the expected numbers of detection events for different terrestrial neutrino detectors.

4.1. luminosity and spectrum

In Fig. 5, we show the time evolutions of number luminosities of ν_e and $\bar{\nu}_e$ for the $15 M_\odot$ progenitor model. The left and right panels display the progenitor phase and the collapse phase, respectively. The origin of the time coordinate corresponds to the time, at which the hydrodynamical calculations are initiated. The solid and dashed lines denote $\bar{\nu}_e$ and ν_e , respectively. The colors of lines indicate the contributions from different processes as shown in the legend. Note that for ν_e in the collapse phase we show only the total luminosity, since it is all that the transport calculations produce. The nuclear weak processes are considered in the NSE regions alone

and, as a result, they arise only after the temperature becomes $T \gtrsim 5 \times 10^9$ K. It is found that EC's by heavy nuclei and free protons are dominant in the emissions of ν_e during the progenitor phase, while the emissions of $\bar{\nu}_e$ occur mainly via the electron-positron pair annihilation until around a few hundreds of seconds before collapse and thereafter the β^- decay dominates, which is a new finding in this paper. Although ν_e overwhelms $\bar{\nu}_e$ in the collapse phase as expected, this is also true in the progenitor phase. It is particularly the case at 100 seconds prior to collapse when the electron captures on free protons become appreciable.

Figure 6 is the same as Fig. 5 but for the $12 M_\odot$ progenitor model. The results are similar to those of the $15 M_\odot$ model except that the numbers of emitted ν_e and $\bar{\nu}_e$ are slightly smaller for the $12 M_\odot$ model than for the $15 M_\odot$ model because the Fe-core of the $12 M_\odot$ model has slightly high densities and low temperatures compared to the $15 M_\odot$ model (see Fig. 3).

Figure 7 shows, on the other hand, the temporal evolutions of the number luminosities in the $9 M_\odot$ progenitor model, in which the ONe-core collapses to produce an ECSN. Only the collapse phase is presented because we demonstrated in our previous paper (Kato et al. 2015) that the number luminosities in the progenitor phase are very low and will not be observable even if it is as close to us as 200 pc, the distance to Betelgeuse. The strong degeneracy of electrons suppresses the electron-positron annihilation in this case and, as a result, the plasmon decay dominates initially until 60 ms after we switch to the hydrodynamical simulation when Ne and O are ignited at the center and the deflagration wave starts to propagate outward to produce NSE behind. Then, $\bar{\nu}_e$ emissions by the β^- decay and ν_e emissions via the EC's on heavy nuclei as well as on free protons overtake those through the plasmon decay.

In Fig. 8 we present the radial profiles of the energy emissivities, Q_E^ν , from different processes for the $15 M_\odot$ progenitor model at different times before collapse. The top panels display the results at a very early time in the progenitor phase ($\rho_c = 10^{9.1} \text{ g cm}^{-3}$), with both the radius (left) and mass coordinate (right) being employed as the horizontal axis. We define the Fe-core as the region where the electron fraction satisfies $Y_e < 0.495$ and paint it in yellow. It is seen that all emissions occur rather uniformly in the region, $r \lesssim 2 \times 10^7$ cm, in this early phase. As the density increases with time, the $\bar{\nu}_e$ emissions are all suppressed toward the center and the peaks in the emissivities appear off center and are shifted to the peripheral, $r \sim 5 \times 10^7$ cm, as shown in the bottom panel of the figure, which corresponds to a later time ($\rho_c = 10^{10.3} \text{ g cm}^{-3}$). This is both due to the depletion of positrons in the initial state and to the Fermi-blocking of electrons in the final state as a consequence of the electron degeneracy. As for ν_e emissions, such a suppression does not occur and the emissivities are greatest in the central region.

Figure 9 exhibits the differential luminosities or the energy spectra normalized by the corresponding total luminosities. The colors and types of lines are the same as those in Fig. 5. One can see that $\bar{\nu}_e$'s emitted via PC on heavy nuclei (orange solid lines) have the highest average energies at all times. Recall, however, that the luminosity is very low for this process (see Figs. 5-

7). It should be also mentioned that the transport is not solved for $\bar{\nu}_e$, which will not be justified at high densities ($\rho_c \gtrsim 10^{11} \text{ g cm}^{-3}$) for these high-energy $\bar{\nu}_e$'s. Regardless, the dominant process in the $\bar{\nu}_e$ emission is either the electron-positron annihilation or the β^- decay and they both have average energies of 2-5 MeV at most, which may justify the neglect of transport. As for the ν_e emission, the EC's on heavy nuclei and free protons are mostly dominant and produce ν_e 's with ~ 10 MeV. In this case the transport in the core should be computed for the quantitative estimate of the luminosity and spectrum. A comparison between the results for the two types of progenitors indicates that neutrinos emitted from the ONe-core progenitor, especially those generated via the electron-positron annihilation, have higher energies than those from the Fe-core progenitors. This is because electrons are more strongly degenerate and have greater chemical potentials in the former.

In Table 2, we list the top five contributors to the EC and β^- decay, the dominant processes to produce ν_e and $\bar{\nu}_e$, respectively, at the time when $\rho_c = 10^{10.3} \text{ g cm}^{-3}$ in the $15 M_\odot$ model. Note that the EC occurs mostly in the central region whereas the β^- decay happens off center mainly. We hence evaluate the EC rates at $r = 3.1 \times 10^5$ cm, where the density, temperature and electron fraction are $\rho = 10^{10.3} \text{ g cm}^{-3}$, $T = 0.861$ MeV and $Y_e = 0.417$. The β^- decay rates are presented, on the other hand, for the condition at $r = 2.7 \times 10^7$ cm, i.e., $\rho = 10^{9.79} \text{ g cm}^{-3}$, $T = 0.856$ MeV and $Y_e = 0.423$. We find that although the emissivities for individual nuclei are proportional to the product of their mass fraction and the reaction rate, the former is more important, since the latter changes by a factor whereas the former varies by an order. It is noteworthy in this respect that the top two contributors to the EC and the top one to the β^- decay are those nuclei with magic proton numbers, which is the reason why they are more abundant than others. Note again that their reaction rates are not the greatest.

In Fig. 10, we show the energy spectra of neutrinos emitted from these nuclei. It is recognized that the spectra for the β^- decay presented in the lower panel are not much different among the nuclei. It is also evident that the average energies are lower than those for the ν_e 's emitted through the EC's as exhibited in the upper panel. This is because the latter includes the contribution from the kinetic energy of degenerate electrons. The variation among the nuclei is also larger for the EC.

4.2. event numbers at detectors

Based on the results obtained so far, we estimate the numbers of detection events for some representative detectors, which include those under planning at present. For the detection of $\bar{\nu}_e$, almost all detectors utilize the inverse β decay:

$$\bar{\nu}_e + p \longrightarrow e^+ + n. \quad (51)$$

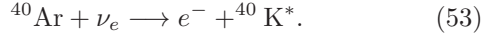
Following Odrzywolek et al. (2004), we express the cross section $\sigma(E_\nu)$ of this interaction as

$$\sigma(E_\nu) = 0.0952 \left(\frac{E_{e^+} p_{e^+}}{1 \text{ MeV}^2} \right) \times 10^{-42} \text{ cm}^2, \quad (52)$$

in which the energy and 3-momentum of the positron emitted are denoted by $E_{e^+} = E_\nu - (m_n - m_p)$ and $p_{e^+} =$

$\sqrt{E_{e^+}^2 - m_e^2}$, respectively.

Electron neutrinos are normally detected via the electron-scattering: $\nu_e + e^- \rightarrow \nu_e + e^-$, in the currently available detectors. Its reaction rate is much lower than that of the inverse β decay, however, and the detection of ν_e 's in the pre-bounce phase has been thought to be almost impossible. Then the new-type detector using liquid Argon has come into view. The planned deep underground neutrino observatory, or DUNE, is one of the such detectors (DUNE collaboration 2016). It employs the absorption of ν_e by ^{40}Ar :



The cross section of this reaction is obtained numerically with SNOwGLoBES.

Then the event rate, r , at a detector is expressed as

$$r = \frac{N}{4\pi R^2} \int_{E_{\text{th}}}^{\infty} dE_{\nu_1} \sigma(E_{\nu_1}) \frac{dL_N^{\nu_1}}{dE_{\nu_1}}, \quad (54)$$

in which N and R denote the target number in the detector and the distance to the star from the detector, respectively. For simplicity, we assume that the detection efficiency is 100 % above the threshold energy E_{th} . The features of relevance for the detectors that we consider in this paper, i.e., Super-Kamiokande, KamLAND, Hyper-Kamiokande, JUNO and DUNE, are summarized in Table 3. The cumulative number of events, N_{cum} , is obtained by integrating the rate up to the given time:

$$N_{\text{cum}}(t) = \int_{t_{\text{ini}}}^t r dt. \quad (55)$$

In order to give quantitative estimates to the numbers of detection events, we need to take into account neutrino oscillations appropriately. For that purpose, not only the luminosities of electron-type neutrinos but also those of mu- and tau-types neutrinos are required. In this paper we have calculated them for the electron-positron annihilation on the same basis as ν_e and $\bar{\nu}_e$. We give the results in Fig. 11, in which the time evolution of the number luminosity as well as the energy spectra at three different epochs are displayed in the upper and lower panels, respectively. It is observed that the luminosity is much smaller than that of ν_e as expected and is somewhat lower even compared with $\bar{\nu}_e$. This is simply because that μ - and τ -types neutrinos lack charged-current reactions and are produced solely from the electron-positron annihilation. The average energies are $\lesssim 2$ MeV, much lower than those of ν_e , and the opacities for the heavy-lepton neutrinos are thin, justifying the neglect of transport in their calculations.

Figures 12, 13 and 14 present the time evolutions of event rates (top) and cumulative numbers of detection events (bottom) for different detectors in the progenitor (left) and collapse (right) phases for the three progenitors. For the $9 M_{\odot}$ model, only the collapse phase is shown, since the progenitor phase will not be observed even at the distance as close as 200 pc (Kato et al. 2015). The normal (inverted) hierarchy is assumed in the upper (lower) half of the top panels in each figure. All the detectors except DUNE, which will detect ν_e 's, will observe $\bar{\nu}_e$'s mainly. From the comparison of the left and right panels we find that the progenitor phase is domi-

nant over the collapse phase for $\bar{\nu}_e$ with the latter contributing only a few percent. This is due to the electron degeneracy, which suppresses both the β^- decay via the Fermi-blocking of the electron in the final state and the electron-positron annihilation through the depletion of the positron in the initial state.

In the case of ν_e , the collapse phase is much more important although it lasts for much shorter periods. This is because both the luminosity and the average energy rise with the density. The detections of $\bar{\nu}_e$'s in the pre-bounce phase are hence more suitable for the alert of the imminent supernova (Asakura et al. 2016; Yoshida et al. 2016). In fact, we may be able to issue an alert a few days before the core collapse for the Fe-core progenitors if neutrinos obey the normal mass hierarchy. The ν_e emissions from the ONe-core progenitor, on the other hand, are extremely shorter than those from the Fe-core progenitors presented in Fig. 14. They become appreciable only after NSE is established in the collapsing core by the passage of the deflagration wave. DUNE will detect ν_e 's only from less than 100 ms prior to bounce, and may be hence possible to distinguish the two types of progenitors by the time of the first detection of ν_e 's.

Depending on the mass hierarchy, the neutrino oscillations affect either ν_e or $\bar{\nu}_e$ predominantly. In fact, in the normal hierarchy, the spectrum of ν_e is exchanged with that of ν_{τ} in the adiabatic MSW oscillation and is further mixed among three flavors in the vacuum oscillations whereas the spectrum of $\bar{\nu}_e$ is mixed with those of $\bar{\nu}_{\mu}$ and $\bar{\nu}_{\tau}$ only in the vacuum oscillations. The situation is the other way around in the case of the inverted hierarchy, in which the MSW affects $\bar{\nu}_e$ also. It is recalled that the luminosities of ν_x and $\bar{\nu}_x$ are lower than those of ν_e and $\bar{\nu}_e$.

As a consequence, the chance to observe $\bar{\nu}_e$'s is higher for the normal hierarchy and JUNO will see more than 700 of them in the progenitor phase of from as early as a few days prior to collapse, which is roughly the end of O burning, if the $15 M_{\odot}$ progenitor is located at 200 pc from the earth. The event number will be reduced by a factor of ~ 5 in the case of the inverted hierarchy. The detection of ν_e 's on DUNE will be more plausible for the inverted hierarchy and, in fact, the expected event number may exceed 1000 if the distance to the source is again 200 pc, i.e., the distance to Betelgeuse. The first ν_e may be observed several tens of minutes before collapse, which corresponds to the end of Si burning. In the normal hierarchy, on the hand, the number of detections will be reduced by more than a factor of 10. Such a large difference in the number of detections suggests a possibility to judge the neutrino mass hierarchy. It is interesting to point out that as far as the ν_e is concerned, the ONe-core progenitor may offer a better chance of detection at DUNE. This is because the temperature in the NSE region behind the O+Ne deflagration is higher than in the Fe-core. As long as the Fe-core progenitors are concerned, the more massive it is, the larger number of detection events are expected both for ν_e and $\bar{\nu}_e$.

In Table 4, we summarize the expected numbers of events at Super-Kamiokande, KamLAND, Hyper-Kamiokande, JUNO and DUNE, assuming that progenitors are 200 pc away from us. They are the numbers of ν_e events for DUNE and those of $\bar{\nu}_e$ events for other detectors. In the table, the contributions from both the

progenitor and collapse phases are exhibited. It is found that $\bar{\nu}_e$'s from the 12 and 15 M_\odot progenitors can be detected at all detectors if the source is this close. In particular, the planned detectors such as Hyper-Kamiokande and JUNO look promising, if one considers the number of events alone: they will detect a few tens of $\bar{\nu}_e$'s even if they are emitted from 1 kpc away. The detection of $\bar{\nu}_e$'s from the ONe-core progenitor seems to be nearly impossible even with the planned detectors. We will be hence able to distinguish the two types of progenitors, i.e., ONe-core progenitors and Fe-core progenitors, by detection or non-detection of $\bar{\nu}_e$, the same conclusion as in our previous paper (Kato et al. 2015). It is stressed, however, that in this paper we have incorporated nuclear processes, such as β^- decay, which were neglected in Kato et al. (2015) but are demonstrated to be dominant in the production of $\bar{\nu}_e$. In this paper, we do not treat the neutrino emissions at $\rho > 10^{13}$ g cm $^{-3}$ because the nuclear compositions and the weak reaction rates of heavy nuclei are highly uncertain there. The number of events for $\bar{\nu}_e$ will not increase until core bounce, however. It is expected to increase by ~ 200 for ν_e if one simply extrapolates the results obtained for $\rho_c < 10^{13}$ g cm $^{-3}$ up to core bounce. This issue will be addressed in a future publication.

5. SUMMARY AND DISCUSSIONS

In our previous paper (Kato et al. 2015), we calculated $\bar{\nu}_e$ emissions via thermal processes such as the electron-positron pair annihilation and plasmon decay from both Fe-core progenitors and an ONe-core progenitor. Nuclear weak processes, such as β^\mp decays and electron- and positron captures, were ignored, however. Moreover, the neutrino emissions in the collapse phase were not considered, either, because the computations of hydrodynamics and neutrino transfer would have been required. These neglects may no longer be justified as the liquid Ar detector such as DUNE has come into view to detect ν_e 's, which are predominant in the collapse phase but are difficult to observe for the existing detectors. It should be stressed here that no quantitative estimate has been done so far on the neutrino emissions during the collapse phase mainly because neutrinos are emitted more intensively in the post-bounce phase and the proto-neutron star cooling phase that follows. This paper is hence the first to demonstrate that the collapsing phase has a potential to provide new insights.

In this paper, we have investigated the emissions of all-types of neutrinos from the progenitor phase up to the pre-bounce time of $\rho_c = 10^{13}$ g cm $^{-3}$. We have compared the two types of progenitors of CCSNe: one that produces an Fe-core and the other that yields an ONe-core before core collapse, to see whether we can get some information on the cores deep inside massive stars, which would be inaccessible to other means, by observing the neutrinos they emit. We have first re-calculated the neutrino emissions from the realistic progenitor models with 9, 12 and 15 M_\odot on the zero age main sequence with both the thermal and nuclear weak processes being taken into account. These progenitor models are essentially the same as those employed in our previous work. Note again that the 9 M_\odot model is a progenitor with an ONe-core that collapses to produce an ECSN and the other two are supposed to be progenitors of FeCCSNe.

We have then switched to hydrodynamical simulations of core collapse up to bounce with the transfer of ν_e in the core being treated appropriately. Since other types of neutrinos are much less abundant and have lower energies typically, we have treated them in the post-process, in which we have extracted the time evolutions of density, temperature, Y_e and f_{ν_e} , the distribution function of ν_e , from the simulations and calculated the emissivities of these neutrinos with possible minor back-reactions to dynamics being ignored. Finally, based on the luminosities and spectra of neutrinos thus obtained, we have estimated the expected numbers of detection events on some representative neutrino detectors. In so doing we have taken into proper account the vacuum and MSW oscillations of neutrino flavors.

We have found that the β^- decay and the EC on heavy nuclei and free protons dominate the number luminosities of $\bar{\nu}_e$ and ν_e , respectively, several tens of minutes before core bounce. To these reactions heavy nuclei not with large reaction rates but with large mass fractions contribute most. Because of the Fermi-blocking of electrons in the final state, the β^- decay is suppressed at high densities, where electrons are strongly degenerate, and the number luminosity of $\bar{\nu}_e$ is decreased toward core bounce. As a consequence, the progenitor phase is dominant over the collapse phase in the $\bar{\nu}_e$ emission. In contrast, the ν_e emission occurs predominantly in the collapse phase although it is much shorter than the progenitor phase that precedes it. The detection of $\bar{\nu}_e$'s in the pre-SN phase is hence more suitable for the alert of the imminent supernova, which may be indeed possible a few days before core bounce for the Fe-core progenitors if neutrinos obey the normal mass hierarchy.

The electron-type antineutrinos from the 12 and 15 M_\odot progenitors can be detected by all detectors, especially on the planned detectors such as Hyper-Kamiokande and JUNO if the distance to them is $\lesssim 1$ kpc. The 9 M_\odot progenitor will be quite difficult to observe with $\bar{\nu}_e$'s even if it is as close to us as 200 pc, the distance to Betelgeuse. We may hence conclude that we can distinguish the two types of progenitors by detection or non-detection of $\bar{\nu}_e$ prior to collapse. With DUNE, on the other hand, we will be able to detect more than a thousand of ν_e 's from all the progenitor models if the distance to the source is again 200 pc and neutrinos have the inverted mass hierarchy. The event numbers are reduced by a factor of ~ 10 if they obey the normal mass hierarchy. Such a large difference in the number of detections suggests a possibility to judge the neutrino mass hierarchy. It is interesting to see that the ONe-core progenitor offers the best chance in this case although the expected event numbers are not much different among the progenitor models anyway (Figs. 12-14). This implies that irrespective of the type and mass of progenitor we may be able to confirm our current understanding of the physics in the collapse phase. Note, however, that ν_e 's are not useless in distinguishing the progenitor types. Although it will not be easy observationally, the fact that ν_e emissions from the ONe-core progenitor in the pre-bounce phase occur in much shorter periods than those from the Fe-core progenitors may be utilized to discriminate the former from the latter.

Our estimates admittedly include several uncertainties. In the following we comment on them in turn. In

this paper, we began the hydrodynamical simulations of the collapsing phase when the central density becomes $\rho_c = 10^{10.3} \text{ g cm}^{-3}$ for the Fe-core progenitors, which is rather arbitrary. In fact, the cores are already unstable at this point and have started to collapse in the quasi-static evolutionary calculations, which means that we could have switched to the dynamical simulations a bit earlier. Indeed, if we switch at $\rho_c = 10^{10} \text{ g cm}^{-3}$, the time it takes to reach core bounce is shortened by more than a second. This is due to artificially accelerated collapse in the new calculation, which is in turn caused mainly by differences between the EOS used in the stellar-evolution calculation and that employed in the dynamical simulation. The EC rates are also different. Although the discrepancy of more than a second in the time up to core bounce may seem not small, the difference in the event numbers may not be so large, since most of the deviation occurs immediately after the onset of the simulation, when the density is still not very high.

The uncertainty in the EOS also affects the EC rates through the mass fractions of heavy nuclei in the NSE composition. Buyukcizmeci et al. (2013) compared the nuclear composition of three multi-species EOS's including ours that are recently constructed for supernova simulations. According to their results, differences in the mass fractions of heavy nuclei increase with temperature and/or density and become as large as a factor of two at $T = 2 \text{ MeV}$ and $\rho = 10^{11} \text{ g cm}^{-3}$. The different treatments of the surface, bulk and shell energies of heavy nuclei are the main cause for the discrepancies. In fact, the temperature dependence of the shell energies that is incorporated in Furusawa et al. (2017,b) tends to smooth out the mass distribution around closed shell nuclei and may reduce the EC rate at early times in the collapse phase by $\sim 20\%$ (Furusawa et al. 2017). The shell quenching considered in Raduta et al. (2016) may also affect the nuclear weak rates during the collapse phase.

As explained in section 3, we have employed the nuclear weak interaction rates obtained by detailed calculations for individual heavy nuclei whenever they are available. As the density and temperature increase in the collapse phase, however, there appear heavy nuclei that are not included in these tables. We then forced to use for these nuclei the approximate formula, eq.(30), for EC and another table (Tachibana & Yamada 1995) for β^- decay. Since the approximate formula is based on the data of nuclei around β stable line, it may not be applicable to neutron-rich nuclei. The rates in Tachibana's table, on the other hand, are not meant for supernova simulations originally and calculated for isolated nuclei under the terrestrial condition. We have hence included the Fermi-blocking of electrons in the final state very crudely. Moreover, the data in this table do not include the contribution from excited states. When the central density exceeds $\sim 3 \times 10^{11} \text{ g cm}^{-3}$, most of $\bar{\nu}_e$'s come from the β^- decays of nuclei, the rates of which are derived from this table. We certainly need to improve them in the future. In this paper, we have not treated the neutrino emissions at $\rho \gtrsim 10^{13} \text{ g cm}^{-3}$ on purpose because nuclei become more and more exotic with their mass and atomic numbers getting larger to produce so-called nuclear pastas before uniform nuclear matter is realized. The compositions and weak reaction rates of

these nuclei are highly uncertain at such high densities. Moreover, the dynamical simulations handle them in a very crude way, ignoring a possible variety of pasta configurations and interpolating the reaction rates between a certain sub-nuclear density and the nuclear saturation density. As mentioned earlier, one can crudely estimate the number of detections of ν_e during the period from the time of $\rho_c = 10^{13} \text{ g cm}^{-3}$ until core bounce by simply extrapolating the event rates obtained in Figs. 12-14. We have found then that ~ 300 more ν_e 's may be observed by DUNE. We certainly need improvements in the treatment of this phase, though, which will be a future work.

Although it is much beyond the scope of this paper to take into account in detail the background noise for each detector and discuss the detection possibility quantitatively, we touch the issue briefly, since the actual detectability depends on it crucially. If we adopt several hundreds events/day the typical noise level of Super-Kamiokande at present, $\bar{\nu}_e$'s may not be detected even from FeCCSNe located at 200 pc. However, the background will be reduced remarkably to 0.21 events per hour after Gadolinium is doped as designed. An accompanied reduction of the energy threshold may increase the number of events by a factor of $\gtrsim 10$ as demonstrated by Yoshida et al. (2016). The background for KamLAND is already very low ~ 1 event/day and will not be a problem. In the case of Hyper-Kamiokande, on the other hand, the reduction of the energy threshold, if possible, will have a big impact on the event number as mentioned earlier.

In this paper, we have considered only two relatively light Fe-core progenitors. It is certainly important, though, to study other more massive progenitors systematically. It should be also emphasized that the expected event numbers for the present models may change by a factor of a few if one considers various uncertainties in the current stellar-evolution calculation. As stated at the beginning, our ultimate goal is to extend the current investigation until the end of the cooling phase of proto neutron stars seamlessly and consistently. It is stressed again that most of the studies on the neutrino emissions from CCSNe and their detections at terrestrial detectors done so far have treated the post-core bounce phase and the subsequent phase of the proto neutron star cooling separately and very little attention has been paid to the phase preceding them. Now that we have a lot of CCSN simulations that are successful to obtain explosions, we believe that we should make a serious effort to draw light curves and spectral evolutions of neutrinos that span the entire period from the progenitor phase up to the formation of the normal neutron star. This paper is just the first step.

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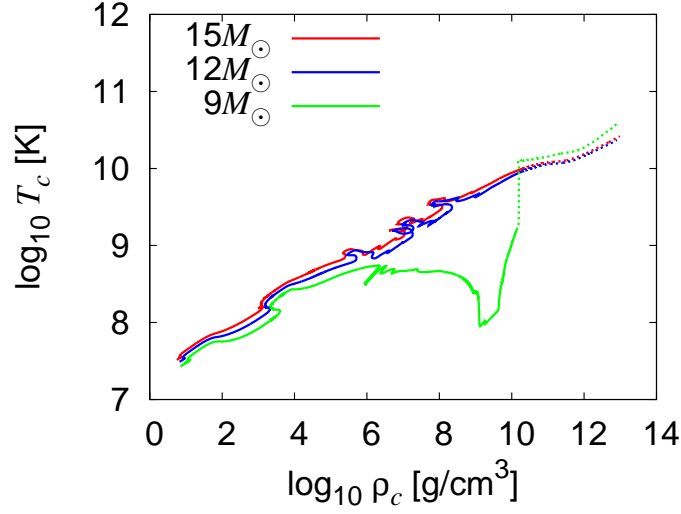


Figure 1. The evolutionary paths of the central density and temperature for three progenitors. The red, blue and green curves correspond to the 15, 12 and 9 M_{\odot} models, respectively. The evolutions in both the progenitor phase (solid lines) and collapse phase (dotted lines) are presented.

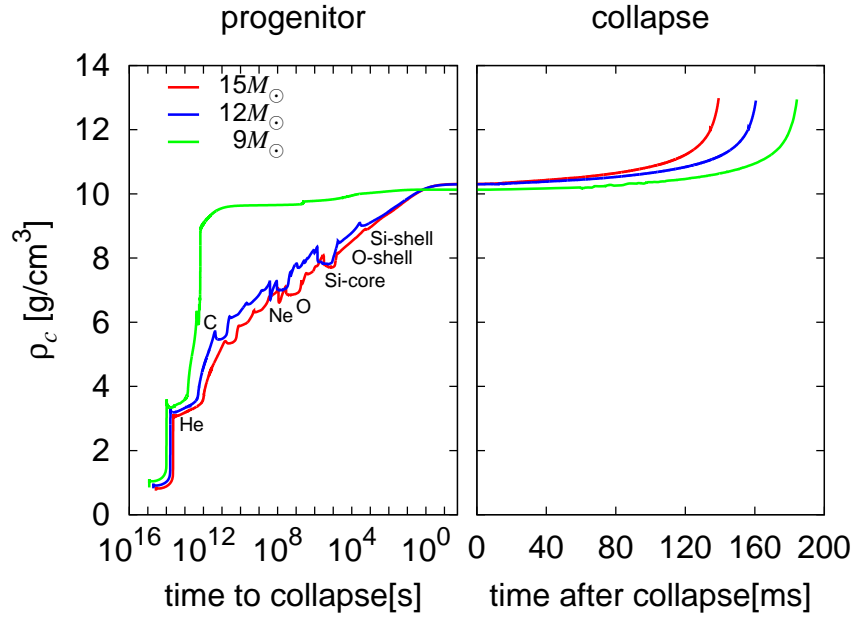


Figure 2. The evolutions of the central density as functions of time for three progenitors. The red, blue and green curves correspond to the 15, 12 and 9 M_{\odot} models, respectively. The origin of the horizontal axis corresponds to the time, at which the dynamical simulations are started. The initiations of some major nuclear-burnings are marked with labels.

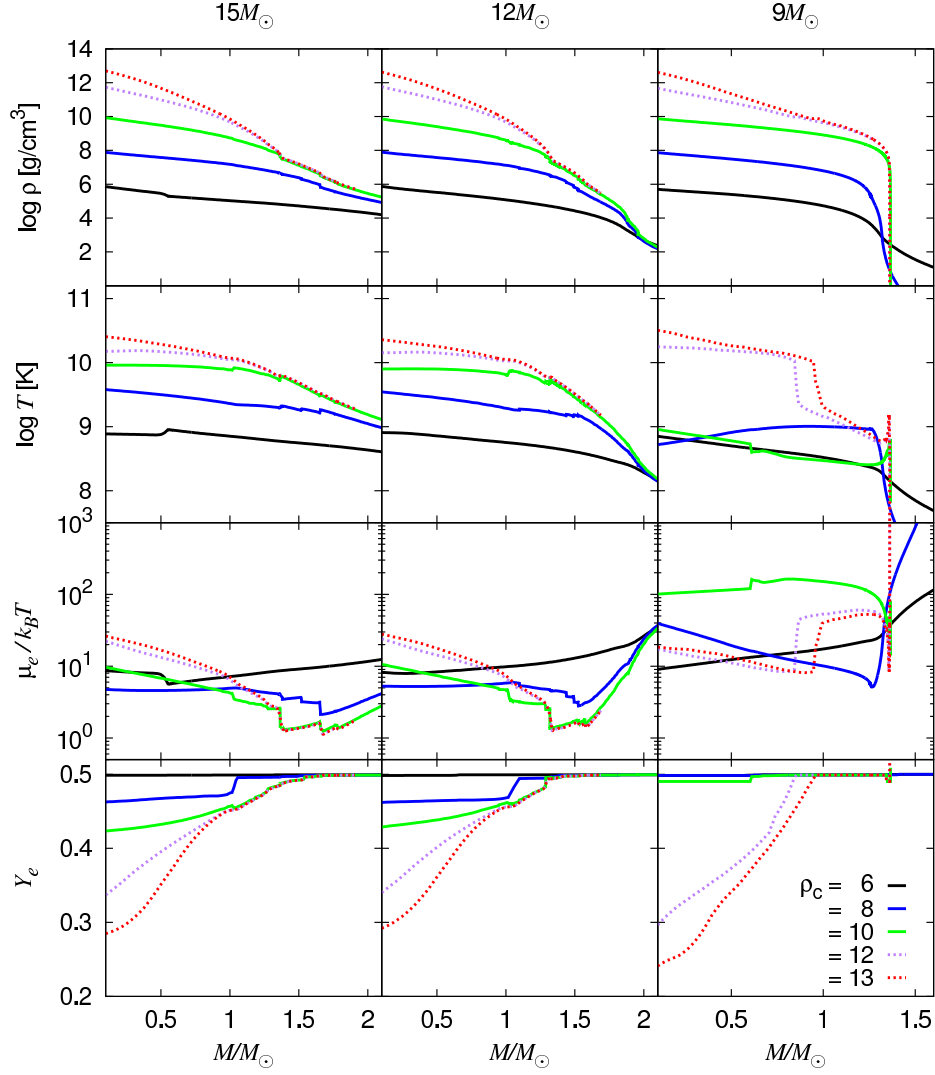


Figure 3. The radial profiles of density, temperature, degeneracy, electron fraction at different times. The horizontal axis is the mass coordinate in the solar mass unit. The left, middle and right panels correspond to 15, 12 and 9 M_{\odot} models, respectively. Different colors denote the times, at which the central density becomes $\log_{10} \rho_c / [\text{g cm}^{-3}] = 6$ (black, solid), 8 (blue, solid), 10 (green, solid), 12 (purple, dotted) and 13 (red, dotted), respectively.

Table 1
Neutrino reactions considered in this paper.

	reactions		collapse phase ^a	colors ^b
thermal processes	pair plasmon	$e^- + e^+ \rightarrow \nu + \bar{\nu}$	ν_e :T, others:P	red
		$\gamma^* \rightarrow \nu + \bar{\nu}$	-	brown
nuclear processes	EC	$(Z, A) + e^- \rightarrow (Z-1, A) + \nu_e$	T	black
	β^+	$(Z, A) \rightarrow (Z-1, A) + e^+ + \nu_e$	-	purple
	PC	$(Z, A) + e^+ \rightarrow (Z+1, A) + \bar{\nu}_e$	P	orange
	β^-	$(Z, A) \rightarrow (Z+1, A) + e^- + \bar{\nu}_e$	P	green
	free p	$p + e^- \rightarrow n + \nu_e$	T	blue

^aThe forth column gives the treatment of each process in the collapse phase: T means that the transport is considered whereas P stands for the post-process.

^bThe fifth column lists the color-codes used consistently in Figs. 5-9.

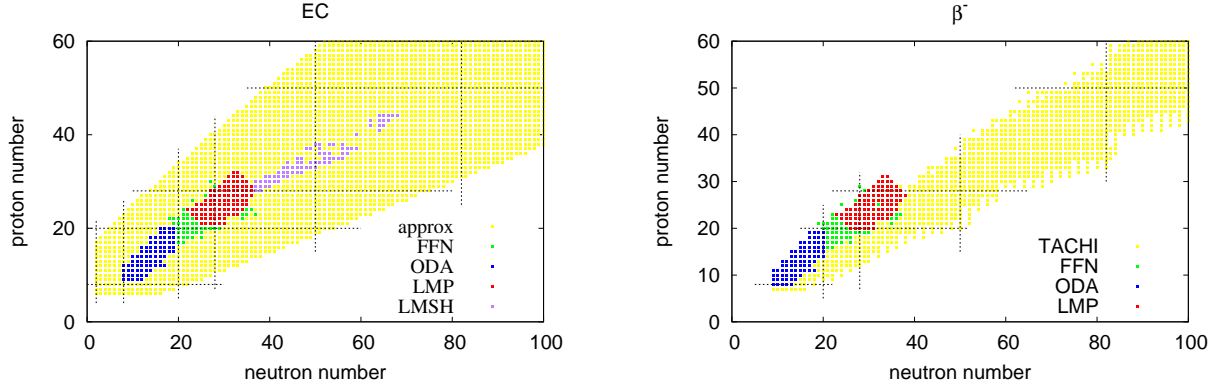


Figure 4. Nuclear charts indicating in different colors the nuclear species with the reaction rates for EC (left) and β^- decay (right) given in the LMSH (black, Langanke et al. (2003)), LMP (red, Langanke et al. (2001)), ODA (blue, Oda et al. (1994)), FFN (green, Fuller et al. (1985)), TACHI tables (yellow, Tachibana & Yamada (1995); Yoshida & Tachibana (2000); Tachibana (2000); Koura et al. (2003); Koura (2004); Koura et al. (2005)) as well as by the approximate formula (yellow, eq.(30)).

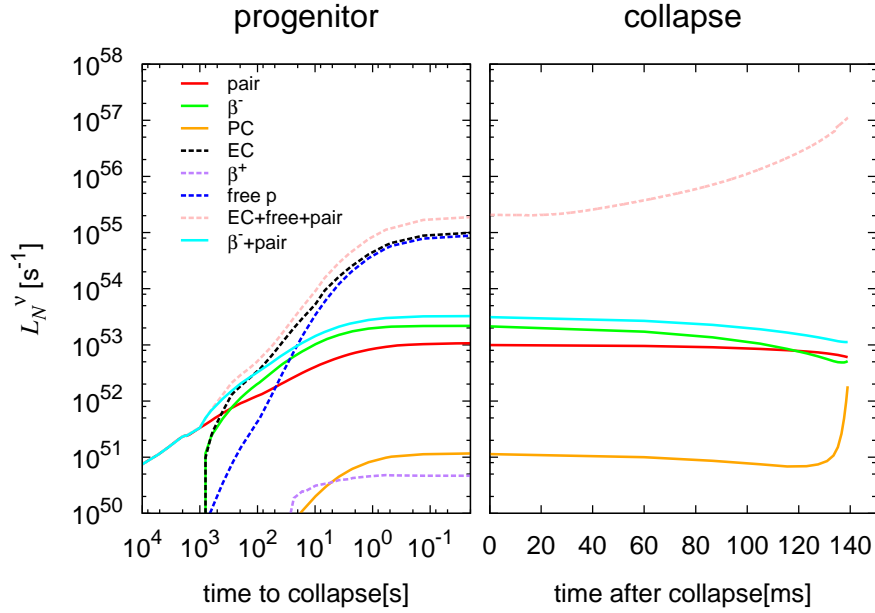


Figure 5. The time evolution of neutrino number luminosity for the $15 M_{\odot}$ progenitor model. The origin of the horizontal axis corresponds to the time, at which the dynamical simulation is started. Dotted and solid lines show the results for the electron-type neutrino and anti-neutrino, respectively. Colors distinguish the different reactions. In the collapse phase, only total luminosity is shown (pink, dotted), since it is the quantity the dynamical simulation provides. Note that the same number of ν_e and $\bar{\nu}_e$ is produced from the electron-positron pair annihilations (red, solid).

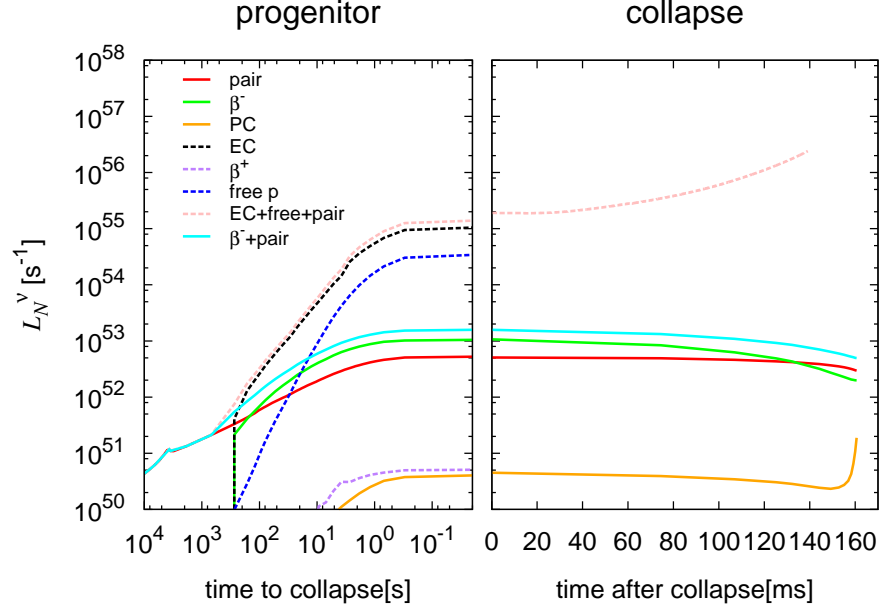


Figure 6. The same as Fig. 5 but for the $12 M_{\odot}$ progenitor model.

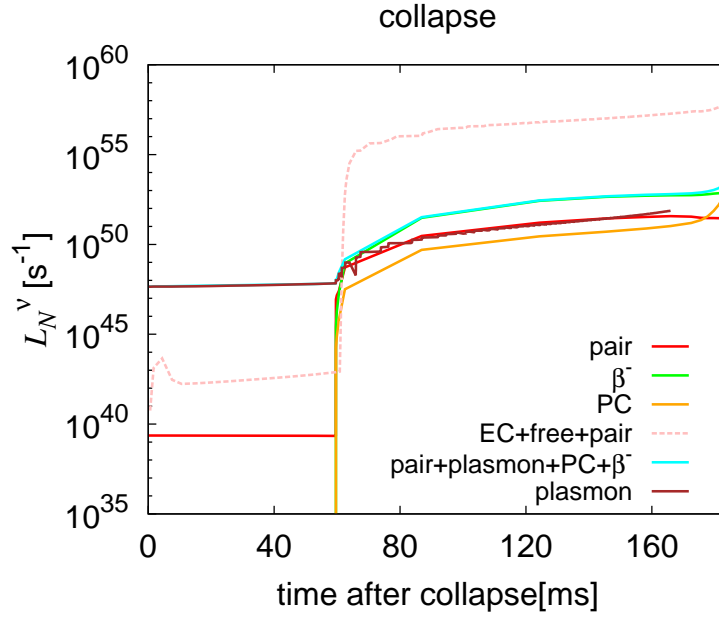


Figure 7. The same as Figs. 5 and 6 but for the $9 M_{\odot}$ progenitor model. Neutrinos emitted in the progenitor phase cannot be detected even if the progenitor is as close as 200 pc, the distance to Betelgeuse. We hence show the collapse phase alone here.

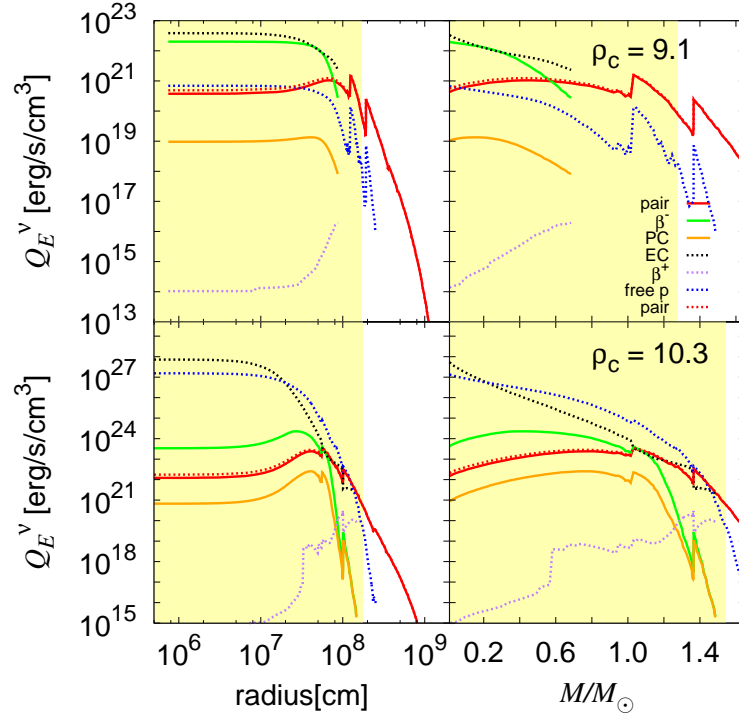


Figure 8. The radial profiles of the energy emissivities from different processes for the $15 M_{\odot}$ progenitor model. Top and bottom panels show the results when the central density is $\rho_c = 10^{9.1}$ and $10^{10.3} \text{ g cm}^{-3}$, respectively. In the left panels the radius is used as the horizontal axis whereas in the right panels the mass coordinate is employed. The line types and color coding are the same as in Fig. 5. We define the Fe-core as the regions where the electron fraction satisfies $Y_e < 0.495$, and they are painted in yellow.

Table 2
Weak reaction rates and mass fractions of some representative nuclei at the time of $\rho_c = 10^{10.3} \text{ g cm}^{-3}$.^a

EC ^b				β^{-c}			
(N, Z)		X_i	R_i	(N, Z)		X_i	R_i
⁶⁶ Ni	(38,28)	7.76×10^{-2}	10.57	⁴⁹ Ca	(29,20)	1.88×10^{-2}	3.64×10^{-2}
⁶⁴ Ni	(36,28)	1.99×10^{-2}	11.89	⁵³ Ti	(31,22)	1.29×10^{-2}	5.56×10^{-2}
⁷⁶ Ge	(44,32)	5.88×10^{-3}	32.59	⁶⁵ Co	(38,27)	4.60×10^{-3}	1.78×10^{-1}
⁸⁷ Kr	(51,36)	7.85×10^{-3}	26.37	⁵⁹ Mn	(34,25)	9.78×10^{-3}	5.20×10^{-2}
⁷⁰ Zn	(40,30)	5.32×10^{-3}	30.04	⁵⁵ V	(32,23)	6.05×10^{-3}	7.62×10^{-2}

^aThis density corresponds to the time, at which we switch to the dynamical calculation ($t = 0$).

^bThe EC rates are evaluated at $r = 3.1 \times 10^5 \text{ cm}$, where the density, temperature and electron fraction are $\rho = 10^{10.3} \text{ g cm}^{-3}$, $T = 0.861 \text{ MeV}$, $Y_e = 0.417$.

^cThe rates of β^{-} decays are calculated at $r = 2.7 \times 10^7 \text{ cm}$, where they are largest and $\rho = 10^{9.79} \text{ g cm}^{-3}$, $T = 0.856 \text{ MeV}$, $Y_e = 0.423$.

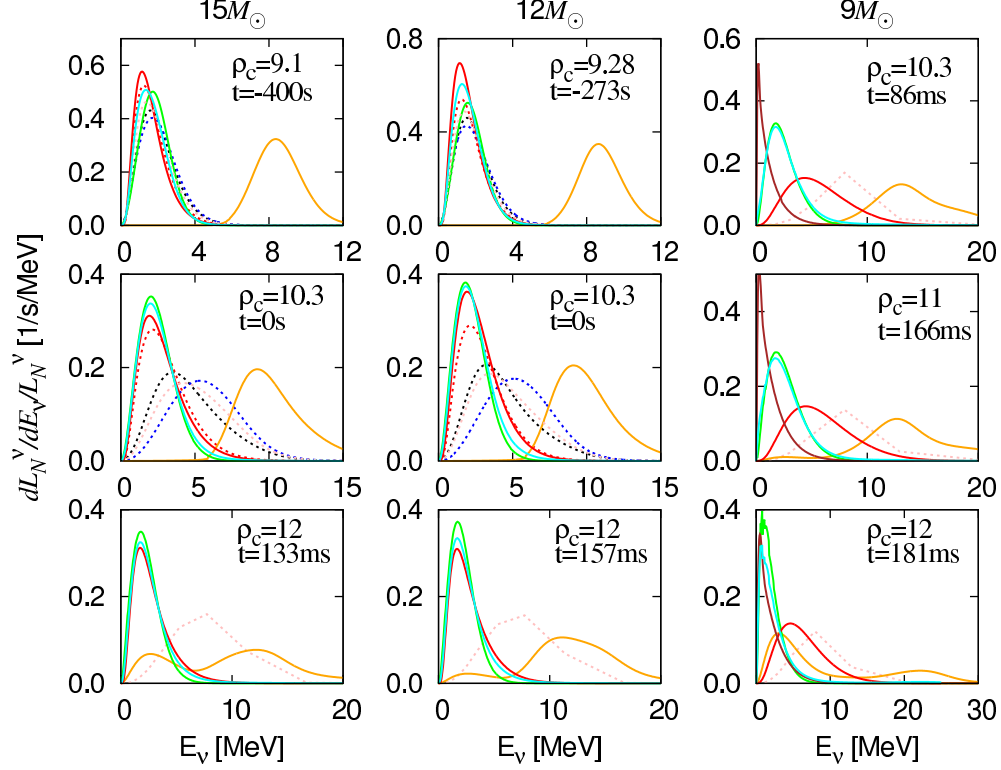


Figure 9. The spectra of neutrinos emitted from the entire star for the three progenitor models. They are normalized by the corresponding number luminosities. Top panels show the results at the earliest time step for each progenitor model. Colors indicate different emission processes in Fig. 5. Note that the scale of the horizontal axes are different among the three models.

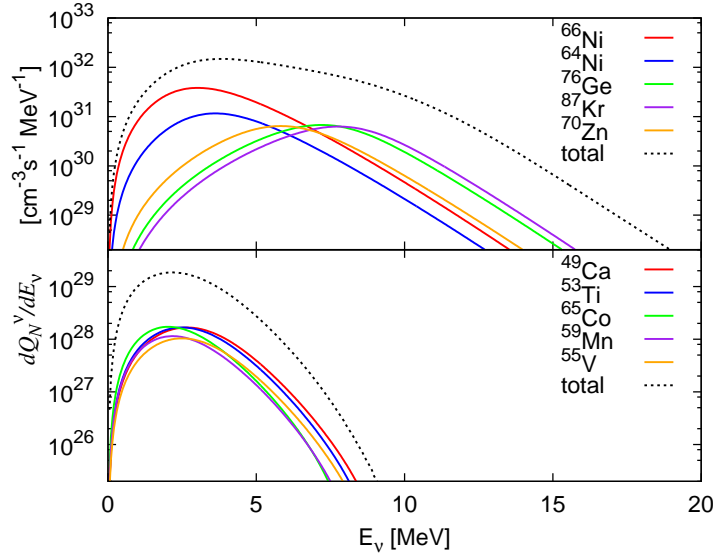


Figure 10. The energy spectra for the EC and β^- decay by the dominant heavy nuclei shown in Table.2, at the time when the central density becomes $\rho_c = 10^{10.3} \text{ g cm}^{-3}$. Top panel is the ν_e spectrum for EC, while bottom panel displays the $\bar{\nu}_e$ spectrum for β^- decay. The EC rates are evaluated at $r = 3.1 \times 10^5 \text{ cm}$, where the density, temperature and electron fraction are $\rho = 10^{10.3} \text{ g cm}^{-3}$, $T = 0.861 \text{ MeV}$, $Y_e = 0.417$, respectively. The rates of β^- decays are calculated at $r = 2.7 \times 10^7 \text{ cm}$, where they are largest and $\rho = 10^{9.79} \text{ g cm}^{-3}$, $T = 0.856 \text{ MeV}$, $Y_e = 0.423$.

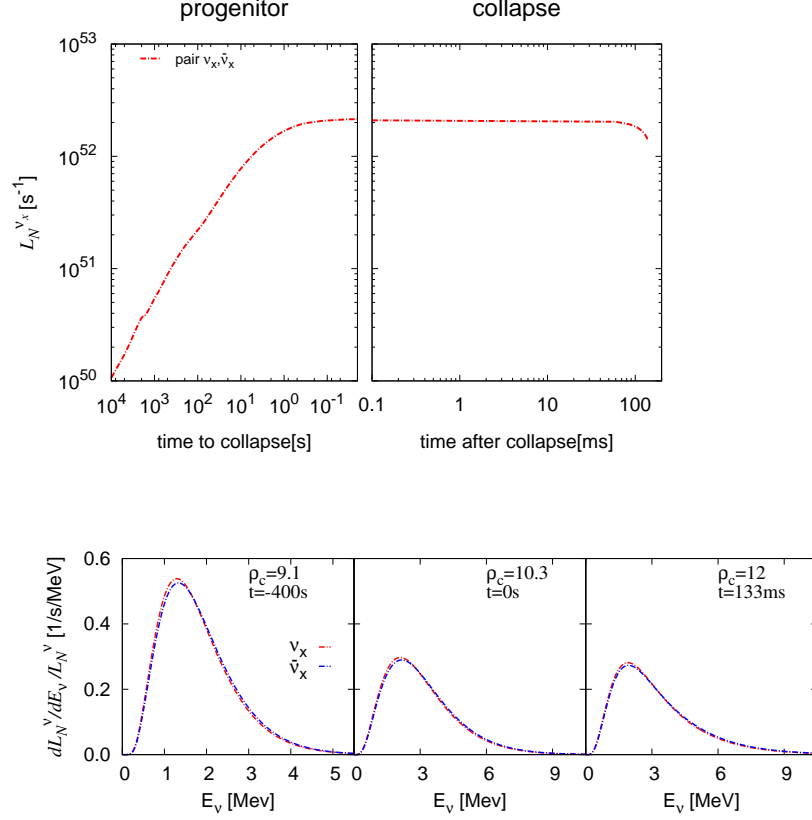


Figure 11. The number luminosity (top) and normalized spectra (bottom) of ν_x and $\bar{\nu}_x$ emitted via the electron-positron annihilation. Note that the number luminosities of ν_x and $\bar{\nu}_x$ are identical to each other.

Table 3
The detector parameters assumed in this paper.^{a, b}

Detector	Mass [kt]	Target number N	Energy threshold [MeV]
Super-K	32	2.14×10^{33}	5.3
KamLAND	1	8.47×10^{31}	1.8
Hyper-K	516	3.45×10^{34}	8.3
JUNO	20	1.69×10^{33}	1.8
DUNE	40	6.02×10^{32}	5.0

References. — (1) Super-K collaboration 2013; (2) KamLAND collaboration 2009; (3) Abe et al. 2016; (4) An et al. 2016; (5) DUNE collaboration 2016

^aThe numbers given here are not very precise and just meant for a rough estimate. JUNO is assumed to be a scale-up of KamLAND by a factor of 20. We also assume that the energy threshold of Hyper-Kamiokande will be somewhat higher than that of Super-Kamiokande.

^bWe use the total volume for the 2 tank-design of Hyper-Kamiokande.

Table 4
The expected numbers of detection events for different detectors. ^{a,b}

detector	9 M_{\odot}		12 M_{\odot}		15 M_{\odot}	
	normal	inverted	normal	inverted	normal	inverted
Super-K	0.94	0.03	30.8 (30.1, 0.71)	8.68 (8.48, 0.20)	89.9 (88.3, 1.61)	20.3 (19.9, 0.41)
KamLAND	0.05	0.002	32.0 (31.9, 0.07)	9.15 (9.13, 0.02)	44.3 (44.2, 0.15)	10.1 (10.1, 0.03)
Hyper-K	11.2	0.41	83.9 (80.0, 3.85)	10.9 (10.1, 0.76)	363 (353, 9.84)	37.7 (35.9, 1.82)
JUNO	0.98	0.04	645 (644, 1.47)	184 (184, 0.33)	894 (891, 3.07)	204 (203, 0.63)
DUNE	211	2716	113 (22.8, 90.3)	1443 (283, 1160)	202 (40.7, 161)	2562 (496, 2066)

^aThe numbers are pertinent to ν_e for DUNE and to $\bar{\nu}_e$ for other detectors. In the case of the Fe-core progenitors, the individual contributions from the progenitor and collapse phases are also shown in the parentheses in this order.

^bThe source is assumed to be located at 200 pc from the earth. Both the normal and inverted mass hierarchies are considered in the adiabatic oscillation limit.

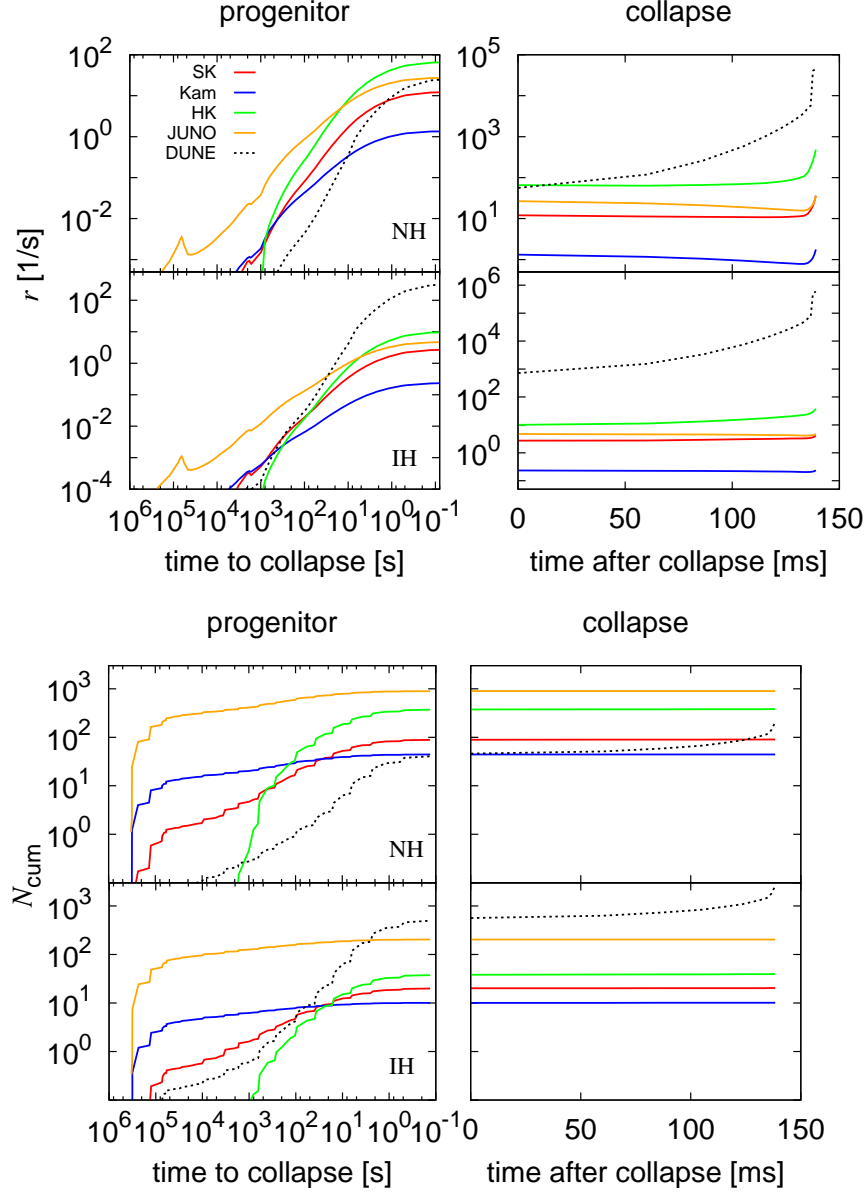


Figure 12. The time evolutions of event rates (top panels) and the cumulative numbers of events (bottom panels) for the $15 M_{\odot}$ progenitor model. The upper half of each panel shows the results for the normal mass hierarchy, while the lower half presents those for the inverted mass hierarchy. Colors specify neutrino detectors. We consider ν_e for DUNE (dotted line) and $\bar{\nu}_e$ for other detectors (solid lines).

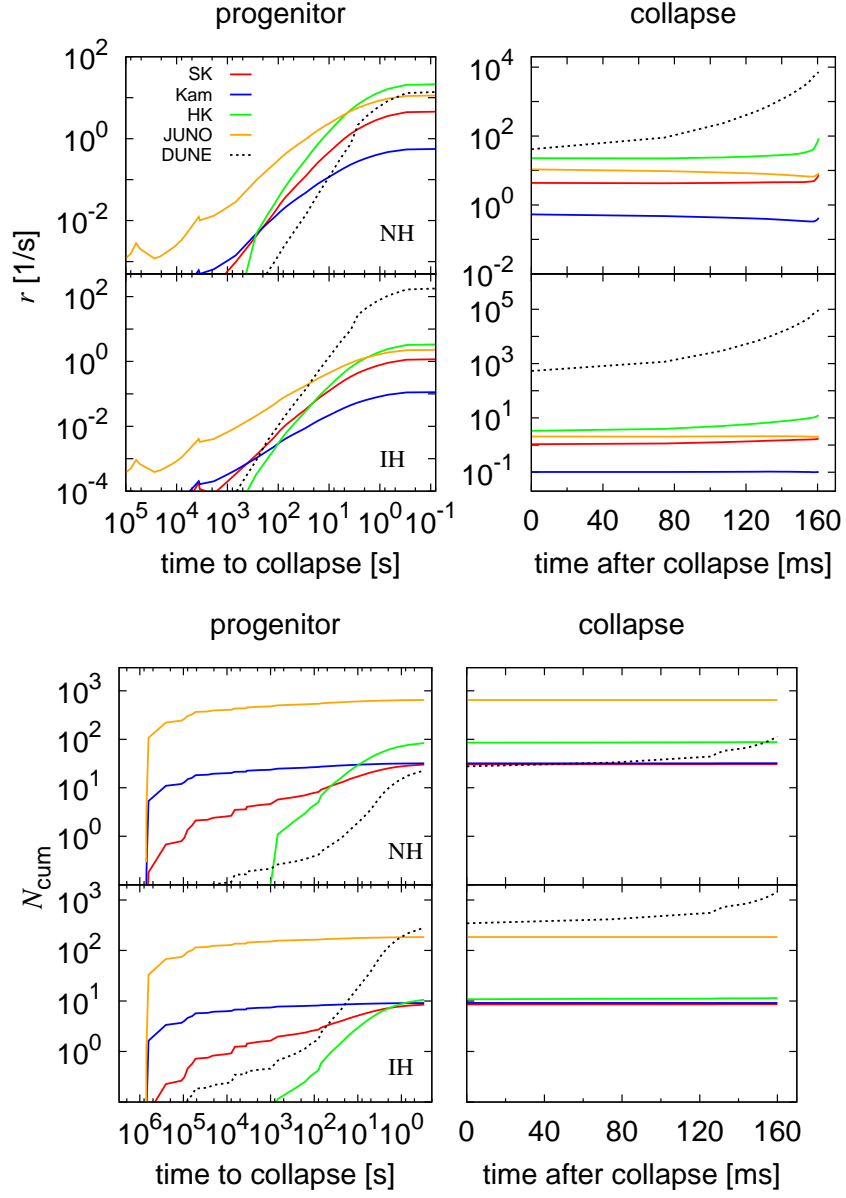


Figure 13. The same as Fig. 12 but for the $12 M_{\odot}$ progenitor.

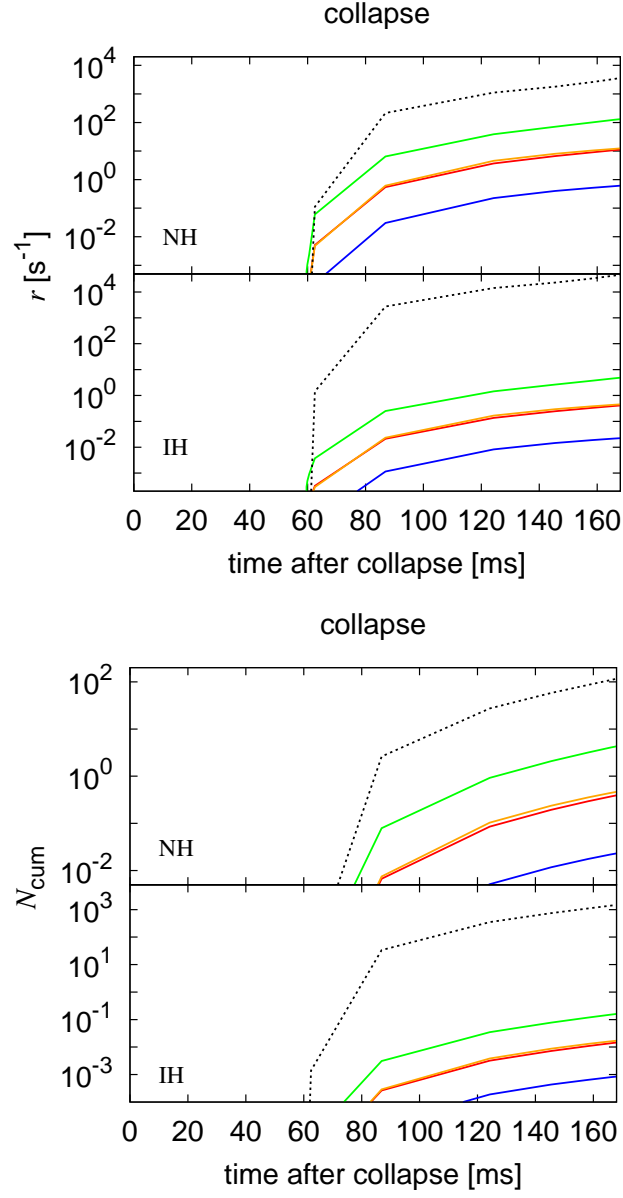


Figure 14. The same as Figs. 12 and 13 but for the $9 M_{\odot}$ progenitor. Only the collapse phase is shown.